

# A New Look at Habits and the Habit–Goal Interface

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The present model outlines the mechanisms underlying habitual control of responding and the ways in which habits interface with goals. Habits emerge from the gradual learning of associations between responses and the features of performance contexts that have historically covaried with them (e.g., physical settings, preceding actions). Once a habit is formed, perception of contexts triggers the associated response without a mediating goal. Nonetheless, habits interface with goals. Constraining this interface, habit associations accrue slowly and do not shift appreciably with current goal states or infrequent counterhabitual responses. Given these constraints, goals can (a) direct habits by motivating repetition that leads to habit formation and by promoting exposure to cues that trigger habits, (b) be inferred from habits, and (c) interact with habits in ways that preserve the learned habit associations. Finally, the authors outline the implications of the model for habit change, especially for the self-regulation of habit cuing.

*Keywords:* habit, goal, automaticity, behavior change, self-regulation

Most of the time what we do is what we do most of the time. Sometimes we do something new (Townsend & Bever, 2001, p. 2).

From the humdrum to the consequential, daily actions tend to be patterned into sequences that are repeated at particular times in customary places. If Townsend and Bever (2001) are correct, the majority of day-to-day living is characterized by repetition in this way.

Empirical estimates of repetition in daily life come from signal-contingent experience-sampling diary investigations. Participants in these studies recorded once per hour for several days what they were doing, thinking, and feeling (Quinn & Wood, 2005; Wood, Quinn, & Kashy, 2002). In college student as well as community samples, about 45% of the behaviors participants listed in their diaries tended to be repeated in the same physical location almost every day. Substantial amounts of repetition in stable contexts also have been documented with other naturalistic paradigms. In Barker and Schoggen's (1978) ecological analysis, observers from the Midwest Psychological Field Station obtained finely detailed recordings of children's everyday activities in a small town. The researchers found a high degree of repetition in daily activities, and consistent with the diary studies, this repetition was linked to specific environments. Accordingly, Barker (1968) proposed that

the most proximal predictor of responding is the *behavior setting*, defined as "standing patterns of behavior-and-milieu" (p. 19).

Why do people repeat actions in contexts in this way? In the heyday of behaviorism, psychologists invoked associative learning mechanisms and stimulus–response (S-R) habits to explain repeated responding cued by recurring stimuli. More recently, social and personality psychologists have attributed consistency in responding to people's goals, intentions, and other dispositions (e.g., attitudes, personality) that lead them to value, and hence to pursue repeatedly, particular outcomes in particular contexts. In this article, we outline a synthetic theory that integrates habit responding with recognition of the essentially goal-directed nature of much human action. As we show below, habits are neither the simple S-R links advanced by some behaviorists nor the automatic expression of people's goals. In our model, habits are subserved by a form of automaticity that involves the direct association between a context and a response but that interfaces with goals during learning and performance.

## New Model of Habits in Brief

Habits are learned dispositions to repeat past responses. They are triggered by features of the context that have covaried frequently with past performance, including performance locations, preceding actions in a sequence, and particular people. Contexts activate habitual responses directly, without the mediation of goal states. We decompose this definition into three principles that play out in the acquisition of habits and in their performance once acquired.

The first principle in our model centers on the power of contexts to trigger habitual responding. That is, the automaticity underlying habits builds on patterns of covariation between features of performance contexts and responses—patterns that arise intentionally or unintentionally in the course of daily life. People form habits as they encode these context–response patterns in procedural memory. Once formed, the habitual response comes to be primed or

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triggered by the perception of cues in the performance context. This process could be initiated by entering the physical setting in which the habit typically is performed, completing the response that typically precedes it, or encountering a person who typically is present. Thus, the first principle stipulates an outsourcing of behavioral control to context cues that were, in the past, contiguous with performance.

We propose that the context cuing of habits arises in two possible forms. In the *direct* form, habit responding is activated by cold cognitive associations between context cues and responses, and in the *motivated* form, it is activated by the diffuse motivation that is tagged onto performance contexts when people repeatedly experience rewards for responding in those contexts.

The second principle concerns the absence of goal mediation in the context–response associations that make up habits. Habits typically are the residue of past goal pursuit; they arise when people repeatedly use a particular behavioral means in particular contexts to pursue their goals. However, once acquired, habits are performed without mediation of a goal to achieve a particular outcome or a goal to respond (i.e., a behavioral intention). For example, purchase of a particular newspaper each morning with coffee initially is guided by the recruitment of a mental representation of a goal (e.g., acquiring information, wasting time). However, recruitment of this goal becomes progressively less necessary as newspaper purchase is repeated and becomes integrated with the morning coffee-purchase routine so that it can be triggered by relevant context cues (e.g., sight of the barista, act of ordering coffee). The second principle thus differentiates habits from more flexible, goal-dependent forms of automaticity, in which goals continue to mediate responses albeit in a manner that is automatic, implicit, and/or nonconscious. As we explain below, the distinction between these two forms of automaticity is evident at the behavioral level. Habitual responses are triggered rigidly by associated cues in the performance context, whereas responses guided by implicit goals are performed more variably and often depend on the activation of supporting explicit goals.

The first two habit principles largely are compatible with folk concepts of habit performance. “I can’t help it, it’s just a habit,” is an excuse that people might offer for such cued behaviors as bad habits (e.g., chronic overeating) and action slips (e.g., accidentally driving to work when intending to go to the store). By offering such accounts, people perhaps are acknowledging that their responses are cued by performance contexts independently of what they intended to accomplish.

On the basis of the first two principles, habit performance might seem an obligatory, reflexlike response to associated context cues. However, the third principle of our model delineates habits’ interface with goals and related dispositions. Habits arise from context–response learning that is acquired slowly with experience. As a result, habit dispositions do not alter in response to people’s current goals or occasional counterhabitual responses. Thus, habits possess conservative features that constrain their relation with goals. Within these constraints, goals and habits can direct each other. As we explain below, people’s goals can guide the formation of habit associations, and people can rely on habits to make inferences about their goals. Furthermore, when habits and goals are both present to guide action, they interact in their effects such that under some circumstances people respond habitually and under others they exert regulatory control to inhibit the cued

response and perhaps perform a more desired one. Understanding of the habit–goal interface thus echoes dual-mode models that specify how automatic, associative processes interact with more controlled, rule-based processes (see Chaiken & Trope, 1999; Smith & DeCoster, 2000).

These three principles provide the foundation for a host of new research questions. As we speculate at the end of this article, the habit–goal interface allows for established habits to be co-opted in the service of goals different from those that directed habit formation. Also, some simple forms of habit regulation might proceed without the use of goals as comparison standards. The model also offers new insights into established literatures, especially how to tailor behavior change interventions to maximize their impact on habits.

### Habits in Historical Perspective

Our model of habits and their interface with goals synthesizes diverse theoretical traditions that influenced theories of action control across the last century. The habit construct has strong historical ties to behaviorism, especially to Watson’s (1913) and Skinner’s (1938) radical behaviorism that famously eschewed cognitive and motivational mediators of behavior. These forms of behaviorism built closely on Thorndike’s (1898) notion of learning as the formation of a direct bond between some physical event or sensory input and a muscle response, so that the external stimulation reflexively comes to cause the response. The decline of this perspective in psychology is often traced to Chomsky’s (1959) and Mowrer’s (1960) famous critiques that highlighted the inadequacy of radical behaviorism’s reduction of complex human behavior, especially speech production and language, to a linear series of single S-R units.

As the limitations of some behaviorist models of human functioning became apparent, a new era of cognitive science developed that rejected central assumptions of those models. Instead of locating the cause for behavior in the environment, the new perspectives situated causality in internal mental processes, specifically, in a hypothesized central executive controller (see Neisser, 1967). Cognitive science researchers also raised questions about the adequacy of associationism, central to behaviorist logic, in which sets of words, items, or mental representations can become associated through bottom-up processes in which perception of one element produces, generates, or arouses the other. With the view that associationism is “reductionist and mechanical and not in keeping with apparent complexities of human memory” (Mandler, 2002, p. 334), cognitive science models shifted emphasis to focus on the purposive, top-down organization of perceptions and concepts.

In the past decade, a broad social–cognitive–behaviorist synthesis has developed that incorporates key elements of the behaviorists’ toolbox within a framework of the inherently goal-directed nature of human action. As Bargh and Ferguson (2000) noted, cognitive models of executive control have been extended to include a favored behaviorist tool, the causal role of the environment. For example, in social cognitive models of automaticity, goal-dependent responding can be triggered by environmental stimuli. Another useful tool for behaviorism, learning through association, ultimately never fell out of favor among cognitive scientists. The formation of simple associations between individual

concepts provides a foundation for connectionism and other cognitive theories of the development of large, complex systems of meanings (see Bower, 2000). Within social psychology, the logic of associationism forms the basis for many of the low effort, heuristic processes in persuasion, stereotyping, and person perception (see Smith & DeCoster, 2000). The present model further develops the emerging synthesis. It retains key features of habit mechanisms postulated by early S-R theorists, while drawing habits into dialogue with goal systems.

### Habit Responses Are Cued by Contexts Without Mediation by Goals

In our model, habits are repeated responses that come to be cued by recurring features of contexts (Principle 1) without mediation by a cognitive representation of a goal (Principle 2). Although we treat these principles as distinct for analytical purposes, we regard them ultimately as dual facets of a unified habit disposition. Each principle is necessary, and by itself, neither is sufficient to define habits. In this way, our definition aligns with classic treatments of habit dispositions. Most notably, William James (1890) proposed that habits are triggered spontaneously by sensory cues and preceding actions, and that this cuing proceeds without recourse to goal-related constructs of volition and will.

In the next sections, we evaluate the empirical evidence for each principle separately as this evidence emerges across the relevant research literatures. Principle 1 situates habit cuing within the broader idea that responses can come to be triggered by context cues that have reliably accompanied prior performance. The empirical evidence for this facet of habitual responding comes predominantly from cognitive and neural models of the learning mechanisms that underlie repeated responding in contiguity with particular context cues. Principle 2 differentiates habit cuing from other goal-dependent forms of automaticity. The empirical evidence for this facet of habitual responding comes primarily from behavior prediction research, computational models of routine action, neuroimaging studies of automaticity, and animal learning paradigms.

*Principle 1:* Habits are cued by context.

Context cues refer broadly to the many elements of the performance environment that potentially can recur as actions are repeated, including physical locations, other people, and preceding actions in a sequence. The first principle of our model reflects the generally accepted ideas that humans, like other animals, are adept at detecting these patterns of covariation and encoding them in mental representations that chunk contexts and responses. Contexts can then activate directly—that is, automatically and without recruitment of a mediating goal—performance of the response. This outsourcing of behavioral control to context cues captures the essence of Principle 1.

The power of contexts to cue habit responding is evident in both laboratory and naturalistic paradigms (see Neal & Wood, in press). In laboratory settings, the historical covariation of context cues and responses is measured or manipulated in order to demonstrate the facilitating effects of contexts on the speed or accuracy of responding. Studies in this vein show that people encode and exploit, sometimes without conscious awareness, context–response co-

variation that is based on abstract visual cues (e.g. LaBar & Phelps, 2005; Lewicki, Hill, & Bizot, 1988), prior responses in learned sequences (see Graybiel, 1998), and everyday objects associated with particular physical motions (e.g., power gripping of hammers, precision gripping of keys; Tucker & Ellis, 2004).

As we noted in the introduction to this article, naturalistic paradigms reveal substantial covariation between contexts and responses that can form the basis for outsourcing behavioral control. Naturalistic data also suggest that this context–response covariation confers causal power onto contexts in activating responses. In this regard, Wood, Tam, and Guerrero Witt (2005) studied everyday habits (e.g., reading the newspaper) of college students transferring to a new university. Suggesting the causal power of contexts, students' habits were disrupted when the transfer altered specific features of the performance context for that behavior, and furthermore, students' goals to respond were not able to account for this disruption.

In sum, a variety of responses can be primed by a range of cues that have in the past reliably covaried with the response. Despite the extensive evidence that such cuing occurs, research has yet to identify the exact psychological mechanisms through which contexts activate associated overt responses. We consider below two possible forms of this cuing, which we call *direct cuing* and *motivated cuing* (see Neal, Wood, & Quinn, 2006). As we explain below, both provide promising, although as of yet somewhat unexplored, accounts of how people's perception of context cues can produce habit performance. Understanding of habit cuing mechanisms is not yet sufficient to prefer one account over the other, and it may be that both function to some extent to promote habit performance.

#### *Direct Cuing*

When directly cued, habits are represented in memory as direct context–response associations that develop from repeated coactivation of the context and response. That is, when the mental representation of a response (e.g., buckling seatbelt) is consistently activated in conjunction with representation of a context (e.g., getting into a car), associative links gradually form between the two (e.g., buckling seatbelt + entering a car).

The essential mechanism behind direct cuing involves the cognitive neural changes that result from repeated coactivation of responses and contexts (see Hebb, 1949). With repetition, incremental changes occur in relevant processors or neural assemblies in procedural memory, essentially tuning the processing elements in ways that facilitate the repeated aspects of responding to recurring features of performance contexts. This gradual development over repeated experience provides a selection mechanism for habit learning because only those patterns that are consistently and frequently repeated will be encoded in procedural memory in the form of habit associations (see Gupta & Cohen, 2002; McClelland, McNaughton, & O'Reilly, 1995).

Simple coactivation plausibly explains how the perception of context cues activates a mental representation of a historically associated response. However, it is less clear how simple heightened cognitive accessibility drives overt habit responses. In one account, the activation of responding emerges via an *ideomotor* mechanism, which stipulates that the mere thought of a behavior tends to lead to performance of it (James, 1890). Thus, a context

cue may directly activate an associated response via simple associative learning, and this activated response may then be enacted via an ideomotor mechanism. In a series of compelling demonstrations of ideomotor effects, Bargh, Dijksterhuis, and colleagues have shown that participants primed with the elderly stereotype walked slowly (Bargh, Chen, & Burrows, 1996), generated slow response latencies (Dijksterhuis, Spears, & Lepinasse, 2001), and displayed poor memory (Dijksterhuis, Bargh, & Miedema, 2000). However, in this research, activation of stereotypes and concepts by features of contexts influenced the expression but not necessarily the initiation of responding. As Bargh et al. (1996) noted, activation of the elderly stereotype decreased walking speed of participants who had already chosen to walk down the hall but did not lead them to initiate a new stereotype-linked response (e.g., buying condos in Florida).

The effects of concept activation can be compared with mimicry-based ideomotor movement effects that, in contrast, have been shown to initiate overt responding. For example, observation of other people's movements appears to produce unintentional, nonconscious mimicry as a result of a common neural substrate that supports the perception and performance of action (i.e., mirror neurons, Rizzolatti, Fadiga, Gallese, & Fogassi, 1996; see also Chartrand & Bargh, 1999; Prinz, 1990). However, mimicry effects do not provide a sufficient account for habit cuing, given that habits can be triggered not only by movement but also by representations of such varied cues as locations, simple presence of others, and preceding responses that occurred contiguous with a response in the past.

Thus, outside of ideomotor effects that arise from a common neural substrate for perceiving and acting, the direct cuing effects that have been identified to this point largely involve action representations influencing the form, or manner, of consciously intended action. It remains to be demonstrated whether the simple coactivation in direct cuing (e.g., representations of popcorn + movie theater) provides a sufficient impetus to initiate an overt habit response (e.g., actually purchasing the popcorn) as opposed to modifying an already initiated stream of action.

### *Motivated Cuing*

Habit associations also may arise through a process in which the reward value of response outcomes (e.g., positive affect from eating popcorn) is conditioned onto context cues (e.g., movie theater) that have historically accompanied the receipt of those rewards. We refer to this process as motivated cuing because context cues in this case carry hot motivational influence insofar as they signal opportunities to perform rewarded responses (see Neal et al., 2006). This analysis builds on instrumental learning theories in which habits develop as organisms learn context–response associations in order to obtain rewarding events (see Dickinson & Balleine, 2002). In brief, the logic of incentive conditioning suggests that when cues in the performance context are contiguous with a rewarded response, the reward value becomes conditioned onto the cues. Given sufficient repetition, the cues themselves then carry power to motivate the response because they signal an opportunity to acquire the associated reward. In this account then, contexts drive habit performance because past reward conditioning not only establishes cognitive context–response associations but also imbues the context with the motivational impetus for respond-

ing. Thus, it is possible that motivational cuing works to augment and enhance, rather than replace, context–response learning based on direct cuing.

Models of how incentive conditioning can promote habit performance are developing in research on the neurotransmitter systems that scaffold response to reward. To illustrate, we focus on the neurotransmitter dopamine, although the full story of motivated cuing requires more than our necessarily brief account of dopaminergic function (see Dayan & Balleine, 2002). Dopamine acts in the nucleus accumbens, dorsal and ventral striatum, amygdala, frontal cortex, and perhaps other sites to promote learning of rewards to guide future behavior. Dopamine responses reflect a sort of prediction error sensitive to differences between expected and obtained rewards and to future expectations of reward (Montague, Hyman, & Cohen, 2004; Schultz, 2006). Thus, midbrain neurons in dopamine-rich areas emit a positive signal of brief, spiked activation to unexpectedly large rewards, limited or no activation to expected rewards, and a negative signal of decreased activity to unexpectedly small or absent rewards.

Evidence of dopamine's role in habit learning and performance comes largely from nonhuman research. However, the extension to humans is plausible given that habit performance involves phylogenetically primitive learning mechanisms that likely are shared across mammalian species. In support, neuroscientists have noted reassuringly equivalent forms of habit learning in humans and experimental animals that are mediated by common brain structures involving the basal ganglia (e.g., Packard & Knowlton, 2002). Moreover, human imaging studies have demonstrated dopaminergic responses to abstract rewards of money as well as to appetitive rewards of food (O'Doherty, 2004). At least with respect to appetitive rewards, this activation is similar to that found with nonhuman samples (Montague et al., 2004).

Dopamine response systems appear to make multiple contributions to habit formation and performance, including in the initial stamping-in of context–response associations in memory and in maintaining the incentive value of established context–response mappings. These dual roles are illustrated in the often-cited study by Mirenowicz and Schultz (1996) in which monkeys initially learned a task in which an environmental stimulus (e.g., a light) predicted a reward (e.g., a drop of juice) when they gave a response (e.g., pressed a lever). At the beginning of learning, activity in dopamine-rich areas of the monkey's brain occurred just after receipt of the reward. Dopamine activation following a behavior that is being reinforced works retroactively to stamp in the still-active memory traces of the stimulus and the response. In this way, dopamine augments learning of context–response associations (see Wise, 2004). After several days of training, the animals had learned the task, and they reached for the lever as soon as the light was illuminated. Note that this repetition also shifted dopamine responding so that its effects were apparent proactively. That is, the dopamine response was no longer elicited by the reward itself but instead was activated to the earliest cue to the reward, the initial presentation of the light. Thus, with repetition, dopamine responses appeared to transfer from rewards to reward-predictive context cues.

Although the mechanisms of the transfer of dopamine responding onto contexts have yet to be demonstrated with humans, neuroimaging studies have revealed a parallel phenomenon in which, as people practice probabilistic instrumental tasks, brain

activation in dopaminergic areas increases in response to cues that signal opportunities to perform rewarded responses (see Knutson & Cooper, 2005). In addition, in research on dopamine-altering drugs like cocaine, incidental cues in the environments accompanying people's past drug use apparently can reinstate the drug craving (see Kalivas & McFarland, 2003). In these ways, performance environments in which goals are reached or rewards are received may acquire the capacity to motivate historically associated responses.

How does this motivational account of context cuing effects mesh with our claim that the context cuing underlying habits is not goal mediated? The motivational substrate for habit responding has unique properties that stem from it being a cumulative residue of consistently rewarded responding. That is, the motivation transferred onto predictive environmental cues is an accumulated value that is encoded as a part of the context in the learning of context–response associations and does not vary flexibly with changes in the current outcomes of responding (Daw, Niv, & Dayan, 2005). As a result, context cues provide only a relatively diffuse motivation for habit performance. As Daw et al. (2005) proposed, when habits form, the performance contexts of reward become associated with a *cached* value representing the summary of their long-run future value. This cached value develops through procedural learning and is supposedly independent of any specific outcome information. Thus, the motivational impetus that comes from incentive conditioning can be differentiated from goals, at least to the extent that goals represent particular desired outcomes.

It is worth noting that incentive conditioning onto contexts is not the only reward mechanism that could underlie habit performance. Custers and Aarts (2005, 2007) proposed that with conditioning of positive affect onto cognitive representations of responses, the response representations acquire motivating properties. They speculated further that the mechanisms of such conditioning could be located in dopaminergic responding, as the experience of associations between behaviors and positive feelings excites brain structures that encode the behaviors' general *desire*. Subsequent activation of such motivated response representations then instigates wanting to perform the response. Although Custers and Aarts interpreted positive affect as a property of the cognitive representation of goals, the evidence of the motivating properties of affective conditioning is equally amenable to a broader interpretation that does not involve specific goal representations. Within our habit model, the findings suggest that contexts can activate response representations on the basis of learning of contiguity, and response representations that acquire a broadly positive valence (similar to a cached value) as a result of affective conditioning can drive overt performance.

To summarize motivated cuing, models of the neurotransmitter processes that underlie instrumental learning provide a mechanism by which the context cues reliably associated with response outcomes can come to motivate habit performance. Specifically, models of dopamine function can explain how the diffuse motivating properties of rewards are gradually transferred with habit formation onto the contexts of instrumental performance. These contexts then can energize the associated response. Additionally, it is possible that motivation is conferred onto the response representation, so that activating the representation is sufficient to drive overt performance (see Custers & Aarts, 2005, 2007).

To summarize context cuing in general, the direct and motivated forms both provide potential accounts of the psychological substrate by which context cues trigger overt habit performance. In either form, repeated responses can be activated in memory by associated contexts, and the activated response representations can drive performance without requiring the mediating involvement of a goal. As we have characterized them, direct cuing represents a cold, nonmotivated process, whereas motivated cuing emerges from the value of the rewarding experiences associated in the past with contexts and responses. These two forms of habit cuing are grounded in separate research literatures in psychology, with direct cuing arising within social cognition and motivated cuing within neural models of reward learning in animals. Despite their differences, these forms of cuing both have the potential to provide a coherent account of the psychological processes that undergird habit development and performance. Our guess is that future theorizing on habit cuing will build further on, and perhaps integrate, both mechanisms.

In presenting Principle 1, we described how perception of contexts can activate habit responses in memory and promote their overt performance. In the next section of the article, we explain the basis for our second principle, that goals do not mediate habit performance. In making this assertion, we draw on the widely accepted idea that goals can be represented in memory just as can other types of information (e.g., Kruglanski et al., 2002).

*Principle 2: Habit context–response associations are not mediated by goals.*

According to our second principle, habit associations are not mediated by representations of goals. This claim builds on decompositional models of automaticity that allow automatic processes to exhibit multiple separable features that can be present in various combinations (Bargh, 1994; Moors & De Houwer, 2006). In the language of these approaches, habits can be categorized as a *goal-independent* form of automaticity, given that habit performance “does not depend on a goal for its occurrence” (Moors & De Houwer, 2006, p. 305). However, given that habits typically originate in goal pursuit, habit performance often inadvertently promotes goal-consistent outcomes. That is, although they are not goal mediated, habits may blindly carry out the work of the goal that initially prompted people to respond repeatedly and thus to develop the habit. Thus, habits may be goal directed in this restrictive sense, even though they are not goal dependent.

In contrast to our model, habits sometimes have been defined as a form of goal-dependent automaticity (e.g., Aarts & Dijksterhuis, 2000; Verplanken & Aarts, 1999). In this alternative view, habits are represented mentally as goal–action links that emerge when context cues activate a goal and thereby an associated action to achieve that goal. However, as we explain, the features of goal-dependent automaticity do not correspond with the features of habit performance. In particular, automatic goal pursuit is characterized by variability in response rather than repetition of any particular behavioral means.

Recognizing the flexibility inherent in automatic goal pursuit, Bargh and Barndollar (1996) argued that the environmental activation of goals yields “not a static behavioral response, but an automated *strategy* for dealing with the environment” (p. 461, italics in original). Building on this idea that goals convey a

malleable, dynamic orientation, a principle of goal systems theory is substitutability in the means of goal pursuit (see Kruglanski et al., 2002). That is, to the extent that goals possess the property of equifinality (i.e., goals can be met through multiple means), then it is plausible that means of comparable expected value can be substituted for each other. Even strongly desired goals that stably characterize people's motives do not necessarily yield stability in the particular means or responses involved in goal pursuit. Thus, activating a goal to be healthy might prompt people sometimes to forgo dessert and other times to take a walk.

Research on automatic goal pursuit provides substantial evidence of variability in responses promoted by the activation of nonconscious goals. This variability emerges in part because responses to implicit goals are flexibly moderated by the explicit goals that people consciously are pursuing. For example, an implicitly activated goal, such as the desire to help others, that is inconsistent with a conscious goal, such as to be on time, appears to have little effect on responding (Macrae & Johnston, 1998).

Variability in response also is characteristic of *implementation intentions*, a form of automatic goals in which people plan to perform a particular response upon encountering a particular cue. For example, Sheeran, Webb, and Gollwitzer (2005, Study 1) found that participants who had formed implementation intentions to study at particular places and times acted accordingly only if they explicitly endorsed the goal of studying. In a second study, participants were found to act according to their automated intentions to respond quickly at a task only if a broader achievement-related goal had been primed outside of awareness (Sheeran, Webb, & Gollwitzer, 2005). Furthermore, in line with the idea that implicit goal effects depend on people's explicit goals, the achievement goal prime in this study failed to influence performance speed by itself (i.e., when unaccompanied by an implementation intention to respond quickly), presumably because all participants had a conscious task goal of being accurate, and this overrode the impact of priming. In explaining this set of findings, Sheeran, Webb, and Gollwitzer (2005) noted that "the term 'strategic' captures an important feature of the automaticity in implementation intentions that is different to the automaticity associated with habits" (p. 96). Thus, automated intentions appear to produce the associated behavior primarily when supported by explicit goals. In general, although cases of conflict between explicit and implicit goals are not always resolved in favor of explicit ones (e.g., sometimes implicit goals impair explicit goal pursuit; Shah & Kruglanski, 2002), the dependence between implicit and explicit goals promotes variability in associated responses.

In sharp contrast to the evidence that automatic goal effects are variable and depend on explicit goals, habit performance does not appear to depend on the availability or accessibility of a supporting goal in memory. Evidence that habits can be performed without an available supporting explicit goal comes from naturalistic studies predicting the frequency with which people perform everyday behaviors such as watching TV, purchasing fast food, driving a car, and recycling (see review in Ouellette & Wood, 1998; see also Ji & Wood, in press; Verplanken, Aarts, van Knippenberg, & Moonen, 1998). In a typical study, a regression model is constructed to predict future performance from the favorability of people's behavioral goals and the strength of their existing habits (as reflected in frequency of past performance, see also Verplanken & Orbell, 2003). The standard result is that habit strength is an

independent predictor of the extent to which people repeat activities. In a detailed exploration of this pattern, Ouellette and Wood (1998, Study 2) found that habit strength continued to predict future responses even in study designs that controlled for people's (a) explicit behavioral goals, (b) perceptions of efficacy and control over performance, (c) self-concept as someone who performs the act or not, and (d) attitude accessibility, as assessed by reaction times to give attitude judgments. Thus, habit responses continue to be performed even in the absence of an available supporting explicit goal or other disposition (e.g., attitude accessibility).

Additional evidence for the idea that habits are not a form of automatic goal pursuit comes from Neal, Pascoe, and Wood's (2007) research that manipulated the accessibility of performance goals. In this research, participants formed habits in a probabilistic cue-response task involving the prediction of weather (rain versus shine) based on geometric shapes on tarot cards (see Knowlton, Mangels, & Squire, 1996). In the habit formation condition, participants learned by first guessing the outcome on each trial and then being presented with the correct outcome. To encourage reliance on habit-based procedural memory, participants in this condition also counted auditory tones. In the control condition, participants learned by simply observing the tarot cards and the weather outcome presented simultaneously, thus formulating declarative rules (e.g., circles indicate rain). Prior fMRI studies and studies with clinical populations have established that the feedback condition does in fact engage habit-based procedural memory, whereas the observational condition predominantly engages declarative memory (Knowlton et al., 1996; Poldrack et al., 2001).

To evaluate whether habit performance depends on accessibility of a supporting goal, Neal et al.'s participants were primed after the initial learning phase with achievement goals or they were not primed, and then all participants were tested on their weather-prediction ability. Those in the control condition, in which learning involved declarative rules, performed significantly better on the test when the implicit achievement goal was made accessible (see similar pattern in Bargh, Gollwitzer, Lee-Chai, Barndollar, & Trötschel, 2001). These participants apparently applied the task rules more accurately when they had an enhanced goal to perform well. However, those in the habit-based procedural learning condition performed significantly worse when the achievement goal was primed, a phenomenon reminiscent of choking effects on skilled performance (Baumeister, 1984). These results were replicated in a second study in which the achievement goal was activated explicitly through performance-contingent payment. The overall pattern of Neal et al.'s findings suggest that habits are a form of goal-independent automaticity, given that activation of a supporting goal did not facilitate habit performance.

The alternative position, that habits are a type of goal-dependent automaticity, has been advanced through a creative series of studies by Aarts and colleagues on transportation and drinking habits. Aarts and Dijksterhuis (2000, Study 1) found that college students who frequently rode their bikes gave faster judgments about whether the bike was a realistic means of transport to a number of locations when they had been primed earlier with relevant transportation goals (e.g., going to class) than when they had not been primed with goals. In the researchers' interpretation, cycling habits were shown to be goal dependent because cycling-related judgments were facilitated by goal activation. However, because the paradigm was limited to judgments, the findings plausibly reflect

goal-activated explicit beliefs and judgments as opposed to procedural memory associations underlying habit performance. Closer to the study of actual habit responses is Sheeran, Aarts, et al.'s (2005) demonstration that activating goals to socialize can prompt students with a habit for alcohol consumption to sign a voucher for a free drink. However, signing the voucher presumably was not an element of participants' typical drinking habits but instead was a novel action that, again, likely involved reflection and decision-making. Thus, in our view, the data do not show that participants' actual drinking habits were goal dependent. It remains to be seen, for example, whether activation of the socializing goal would prompt participants with strong drinking habits, upon leaving the experiment, to head for the bar at which they habitually drink.

In summary, different patterns of responding appear characteristic of automated goal pursuit and habit automaticity. Performance guided by implicit goals often depends on currently held explicit goals, whereas habit performance does not do so. Implicit goal pursuit that shifts with changes in people's explicit goals appears to yield variability in responding as opposed to the rigid repetition typical of habitual responses.

### *Role of Goals in Habit Models Across Psychology*

The idea that habit associations do not involve the mediation of goals is supported by findings from diverse areas across psychology. We briefly review here three relevant literatures: research on the neural systems underpinning repeated responding, computational models of the cognitive processes that underlie routine action, and animal learning studies of habitual and nonhabitual responding.

In a typical neuroimaging study of habit formation, the neural correlates of task performance are monitored as participants repeat a motor task until it becomes habitual according to some behavioral criterion (e.g., absence of dual-task interference effects). Repetition and the consequent development of habitual control typically are associated with a significant redistribution of brain activity (see reviews in Jonides, 2004; Kelly & Garavan, 2005). Note that the neural correlates of habit development usually feature reduced activation in the prefrontal cortex (PFC) and increased reliance on subcortical structures including the basal ganglia and cerebellum. The PFC is considered critical to the selection and pursuit of goals (e.g., Banfield, Wyland, Macrae, Münte, & Heatherton, 2004; E. K. Miller & Cohen, 2001). Thus, evidence of the progressive disengagement of the PFC during habit formation is consistent with a shift away from goal-based control as responses are repeated into habits.<sup>1</sup>

Also relevant to understanding the role of goals in habit performance are schema-based and connectionist models that describe the control of routine action. In theory, models that represent routine action in terms of cognitive schemas assume that all action is organized by goals (e.g., Cooper & Shallice, 2006; Norman & Shallice, 1986), whereas models that represent routines in connectionist networks eschew a goal-mediated structure for habitual responses (e.g., Botvinick & Plaut, 2004, 2006). However, Botvinick and Plaut (2006) argued that, in practice, even schema-based models accord limited significance to goals in executing routine responses. Schema model simulations represent a goal as a gating mechanism or negative precondition rather than as "a representation of a desired outcome that is matched against action effects as

part of a process of means-ends analysis" (Botvinick & Plaut, 2006, p. 923). Within schema models, goals assume a means-ends function only within alternative systems of action control designed for planning nonroutine actions (e.g., Norman & Shallice's, 1986, supervisory attentional system). Thus, a range of computational models effectively simulate habit-based responding with only limited representation of goals and action outcomes.

Animal learning research provides behavioral evidence for the independence of habits from goal systems. In the *reinforcer devaluation* paradigm, when an animal has initially mastered an instrumental response, such as a rat pressing a bar for a food pellet, performance depends on goal-relevant outcomes (see Dickinson & Balleine, 2002). For example, bar pressing will rapidly desist if the food pellet reinforcer is devalued by feeding the rat to satiation or by pairing the pellet with a toxin (e.g., Adams, 1982; Dickinson, Balleine, Watt, Gonzalez, & Boakes, 1995). However, if the response has been practiced to the point of being habitual, reinforcer devaluation ceases to have an immediate impact on response performance, suggesting that the response is not closely dependent on the value of its outcomes.<sup>2</sup>

Animal studies of *place learning* in mazes also suggest a reduced role of goals along with an increased role of context as animals acquire habits (Packard, 1999; Packard & McGaugh, 1996). Initially, rats navigate through a maze as if guided by a mental map of the location of the reward. Even if placed in a new starting position, they successfully navigate toward the reward location. However, with extended maze training, behavioral control shifts to be based on a series of S-R links that are triggered by context without appearing to encode the ultimate reward destination. If placed in a new starting position, rats with extensive training implement the context-cued turns appropriate for their typical starting position instead of the ones that lead to the reward. Thus, in animal paradigms of reward devaluation and place learning, habit performance appears to be guided by mental representations of associated contexts and responses rather than by the rewards that initially spurred performance.

Despite the use of varied methods and theoretical frameworks, neuroimaging research, computational modeling, and animal

<sup>1</sup> Neuroimaging studies of the reduced engagement of the PFC with habit development typically focus on conscious-explicit goals and do not address nonconscious-implicit goal pursuit. Suggesting that these two forms of goal pursuit rely on essentially the same neural structures, Pessiglione et al. (2007) reported that supraliminally and subliminally presented monetary cues engage essentially the same basal forebrain systems. This supports the idea that reduced PFC engagement with habit formation signals reduced reliance on both conscious-explicit and nonconscious-implicit goal pursuit.

<sup>2</sup> Goal expectancies that develop with repetition provide a possible alternative account of the insensitivity of extensively trained responses to changes in reward value. That is, insensitivity could arise because stronger expectancies are formed with extensive than moderate training, and stronger expectancies are likely influenced less by episodes of devaluation. Countering this alternative explanation is research showing that insensitivity to reinforcer devaluation can be accelerated or delayed by lesions in habit-related brain systems (Coutureau & Killcross, 2003; Yin, Knowlton, & Balleine, 2004). Thus, habitual control, as indexed by insensitivity to reinforcer devaluation, can be manipulated in part independently of rates of repetition and presumably any associated repetition-based expectancies.

learning studies converge upon a common conclusion: The neural, cognitive, and motivational substrates of action control appear to shift with repetition of responding and habit development so that performance is not mediated by goals.

Thus far, we have outlined how habits are represented in the association between responses and recurring aspects of performance contexts (Principle 1), and how these associations are not mediated by goal representations (Principle 2). However, these principles do not imply that habits necessarily are implemented in a manner that is devoid of input from people's goals. Instead, as delineated by the third principle of our model, habits interface with goal systems in certain limited ways.

**Habits and Goals**

The habit–goal interface is constrained by the particular manner in which habits are learned and represented in memory. Specifically, the associative learning underlying habits is characterized by the slow, incremental accrual of information over time in procedural memory (see Graybiel, 1998; Packard & Knowlton, 2002; although also see Pasupathy & Miller, 2005). The slow time course of such learning is critical because it insulates habit dispositions against short-term changes in behavior that occur as people flexibly pursue their goals. Thus, habit dispositions undergo minimal change to reflect current goals or occasional counterhabitual responses. Only with extended repetition in stable contexts are behavior patterns likely to be represented in habit learning.

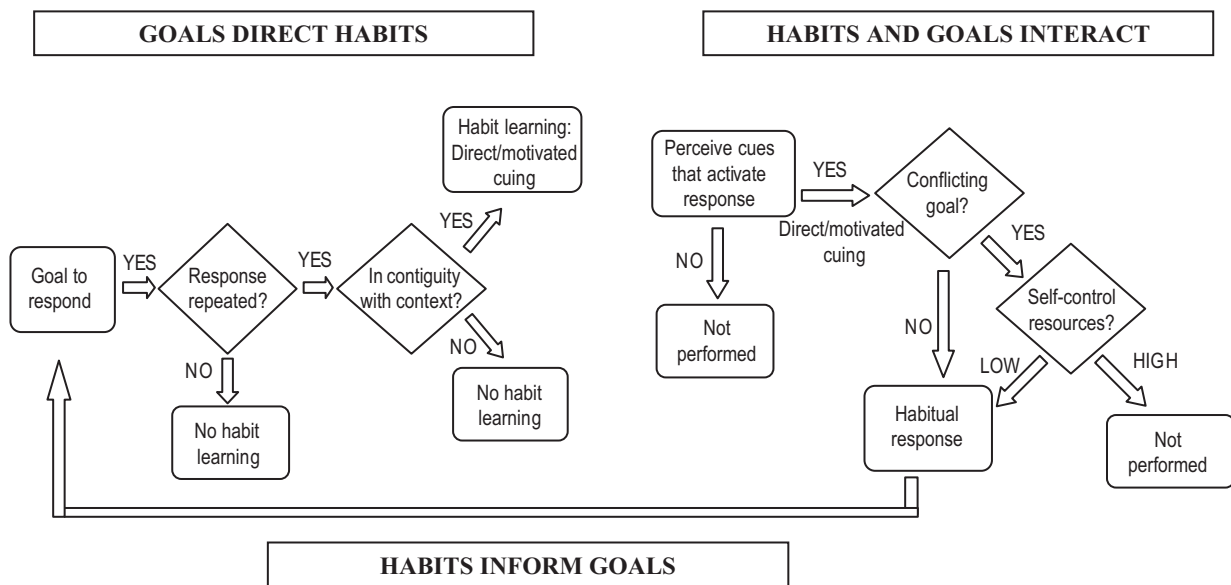
Slow-learning, conservative memory systems, as exemplified in habits, appear to confer functional benefit for learning systems. By reflecting the recurring features of an organism's past experiences, such systems shield existing knowledge against potential disruption from being overwritten or unduly distorted by new experience.

For example, in McClelland et al.'s (1995) connectionist modeling, the problem of *catastrophic interference*, by which newly learned inputs significantly disrupt existing patterns of knowledge, occurred in models that did not incorporate slow-learning systems. With respect to habits, the functional benefits of insulating established learning from the vagaries of changing goals have been captured in Daw et al.'s (2005) computational model of reinforcement learning. This model incorporates complementary roles for habitual control versus goal-mediated (in their terms, *cognitive, goal-dependent*) control. Habitual control is computationally simple; inflexible; and, as we explained in our description of motivated cuing, oriented toward the cached, cumulative value of a response. In contrast, goal-mediated control is computationally expensive, flexible, and dynamically sensitive to changes in goal-relevant outcomes. In Daw et al.'s dual system, each controller is suited to guide responding in specific circumstances, suggesting a unique functionality to conservative habit systems separate from more flexible, goal-mediated control.

A critical implication of the representation of habits in slow-learning neural and cognitive systems distinct from goals is that habitual action control is not readily integrated with goal-based control systems. Thus, when guiding action, habits and goals do not form a unitary, averaged response disposition but instead combine in various ways that have the effect of retaining the integrity of the habit disposition. In the third principle, we outline three ways that the slowly acquired nature of habit associations constrains the interface between goals and habits.

*Principle 3: Habits interface with goals.*

Figure 1 depicts the ways in which habits and goals interface according to the third principle of our model. In one form of this



*Figure 1.* Illustration of the interface between habitual and goal-based systems of action control as outlined in the third principle of the model. The left panel reflects how goals direct habit formation, the right panel reflects the interaction between goals and habits in guiding performance, and the arrow at the bottom reflects how habits inform goals, as when people infer goals and related dispositions from habit performance.



interface, illustrated in the left side of the figure, habit responses operate in the service of goals. Typically, this form occurs because goals direct control of responses prior to habit formation and thus define the contexts under which a response is repeated into a habit. Goals also direct habits when they lead people to encounter contexts that themselves activate habitual sequences of responding. However, as we explain, the triggered habit may promote goal pursuit or may subvert it (e.g., action slips).

A second way in which habits and goals work in concert to guide performance occurs when past habit responding informs people's goals. This inference process is depicted in Figure 1 in the arrow running from the bottom right to the bottom left. Habits are informative in this way when people reflect on how they have acted in the past in order to make inferences about their goals and related dispositions, such as attitudes and personality traits.

Finally, the interface also allows for habits and goals to interact in guiding action. This occurs when a habit disposition and goal disposition are both available to control a given response. The processes by which goals and habits interact are depicted in the right side of Figure 1. Because the slow acquisition of context-response associations precludes flexible integration of habits with current goals, the interaction reflects that responding generally is guided by one or the other disposition. Control of habit responding in favor of conflicting goals involves effortful self-control to override the automatically cued habitual response. Exerting such control depends on available regulatory capacity to inhibit the unwanted habit.

### *Habits in the Service of Goals*

*Goals spur habit learning.* Goals guide habits most fundamentally by providing the initial outcome-oriented impetus for response repetition. In this sense, habits often are a vestige of past goal pursuit. This is not to say that people's habits always are in line with their goals. By definition, unwanted or bad habits are in conflict with goals, and furthermore action slips sometimes involve the performance of an unwanted habit as opposed to an intended response (Reason, 1990). However, given that habits often originate in goal pursuit, the outcomes of habits should generally accord with what people wish to achieve.

The correspondence between habits and desired outcomes was demonstrated in Ouellette and Wood's (1998) meta-analysis across 33 studies, which revealed that habit strength (as reflected in frequency of past performance) was positively correlated with favorability of behavioral goals ( $r = .43, p < .01$ ). Furthermore, in experience-sampling diary studies in which participants reported each time they wanted to change some response, strong habits proved to be a minority of the unwanted responses nominated for change, with only about one fourth of those mentioned being strongly habitual (Quinn, Pascoe, & Wood, 2007, Studies 1 and 2). Although these correlational patterns are consistent with the idea that habits originate in purposive action and hence tend to promote desired ends, in the following section (*Habits Inform Goals*), we address the possibility that such associations can reflect the reverse causal order in which people infer goals from habits.

The goal-dependent process of habit learning can emerge whether or not a person explicitly intends to tie responses to context cues. Thus, in Figure 1, goals might direct habit learning when people repeatedly implement goals to respond to a particular

context cue (e.g., skill learning, implementation intentions) as well as when they repeatedly implement goals to respond that do not specify contexts (e.g., implicit learning). In both cases, goals provide initial top-down control until the response is practiced into a habit and is cued by contexts in a bottom-up fashion.

*1. Habit learning from goals to respond to a context cue.* Goals to respond repeatedly to a given cue underlie much of skill acquisition, which involves people tailoring their responses to environmental events with the goal of perfecting their performance (e.g., feel dancing partner moving forward = move back; see Anderson, 1982). This phenomenon also is exemplified by implementation intentions, or plans to perform a response given a specific cue (Gollwitzer, 1999; e.g., "I will put my fork down after every bite"). By repeatedly enacting such behavioral goals in stable contexts, people plausibly are slowly acquiring the context-response associations by which responding can be cued without goal mediation.

*2. Habit learning from goals to respond.* Habit learning also can originate in goals when people are not aiming to respond to any particular context cue. An extensive literature on implicit learning shows that while purposefully repeating actions, people learn associations between contexts and responses even when they could not plausibly have any goal to learn them (e.g., Cleeremans, Destrebecqz, & Boyer, 1998; Frensch & Runger, 2003). For example, in sequential response tasks, people detect—apparently without a goal or awareness—statistical relationships between contextual stimuli and responses and then use this information to guide responding. As illustrated in Lewicki, Hill, and Bizot's (1988) classic study, participants indicated as quickly as possible when a stimulus shape appeared in one of the four quadrants of a computer screen. Unknown to participants, the position of the stimulus in some trials could be predicted by the order of preceding stimuli. As participants gained experience with the task, the speed and accuracy of their performance on predictable trials improved relative to unpredictable trials. Thus, participants were detecting the sequential context established by the preceding stimuli and were using it to facilitate their responding.

In implicit learning studies, participants hold global goals to complete the experimental task as well as specific goals to perform task procedures (e.g., press the buttons), but the facilitating effects of specific cues (i.e., preceding stimuli) on responses cannot be produced by an explicit goal given that participants typically cannot report on the helpful response sequence (although see Shanks & St. John, 1994; Wilkinson & Shanks, 2004). Further suggesting that participants are not acting on a goal to respond to the specific patterns of covariation, the effect appears robust to manipulations of goals: Participants cannot refrain from expressing this learning during performance even when instructed to do so (Destrebecqz & Cleeremans, 2001), and participants' performance fails to improve, at least on moderately complex sequences, when they are instructed to discover the covariation pattern (Jiménez, Méndez, & Cleeremans, 1996). Thus, evidence of implicit sequence learning indicates that people incidentally can acquire specific patterns of context-response associations in the course of broader goal pursuit. The learned associations then can guide habit responses.

*Goals leading people into contexts that trigger habits.* Habits also function in the service of goals when, during goal pursuit, people encounter context cues that trigger a habit. Habit perfor-

mance thus depends on a goal having led to the perception of relevant context cues. For example, the first steps in getting ready to drive to work in the morning may be too complex and variable to be rendered completely habitual. A person may pursue goals to organize the drive into work until he or she encounters a sequence of stable context cues (e.g., get into the car). At that point, a habitual sequence can be activated by the physical setting and by preceding actions, thereby largely obviating the need for control by goal systems. The habit component of this scenario is displayed in the right panel of Figure 1. During goal pursuit that brings people into a relevant context, the perception of relevant cues activates habit performance that then proceeds in a goal-independent manner.

When goal pursuit enables access to triggering habit cues, goals are working hand in glove with habits—the former segueing into the latter within an ongoing stream of action. The nature of this transition is especially evident when the pursuit of a particular goal leads people to encounter context cues that trigger a goal-inconsistent habit. In the action slips literature, such events are termed *capture errors* (Norman, 1981) or *strong habit intrusions* (Reason, 1990). They are defined as highly automated action sequences that are inadvertently triggered when a person intended another (less habitual) response. In Reason's (1992) diary studies of everyday behavior, this kind of error constituted up to 40% of all action slips. Such habit intrusions were especially common when the habit shared "similar location, movements, and objects with the intended actions" (Reason, 1992, p. 82). For example, a person might set a goal to drive to the grocery store and, in doing so, encounter context cues (e.g., the car, familiar streets) that have become linked to his or her habitual drive to work. The cues might trigger an inadvertent trip to that destination rather than to the store. Such errors show how a goal state can work at the front end of a sequence of actions that results in activation of a habit. That the cued habit can be either consistent or inconsistent with the initiating goal reflects the nature of habit cuing. Because the initiating goal does not mediate habit operation, the habitual response is activated whether it diverges from the person's initial aim or not.

In summary, habits and goals can promote each other in the ongoing stream of everyday behavior. This interface is illustrated by instances in which habits work in the service of goals. For example, habits form as people repeatedly respond in contiguity with context cues, either because they purposefully undertake to give a response in a particular context or because they purposefully respond in ways that happen to be contiguous with features of performance contexts. In another example of the interface, habit performance is indirectly guided by goals when goal pursuit leads people to encounter contexts that activate a habitual response. In these ways, habit performance can be considered a downstream consequence of goal pursuit.

### *Habits Inform Goals*

There is good reason to believe that the causal pathway between goals and habits also can be reversed, so that people use their habits to infer goals and other personal dispositions to value particular response outcomes (e.g., attitudes, self-concept beliefs). The process of using habits to infer subsequent goals and dispositions is depicted in the arrow at the bottom of Figure 1. As we

explain below, inferences of goals from habits are important because they may contribute to the regulation of habits with respect to desired outcomes, although the habit itself is not mediated by a goal representation.

*Post hoc inferences from habits.* The propensity to make inferences from past behavior reflects basic properties of human cognition. Specifically, people often have limited introspective access to the causes of their thoughts and behavior (Nisbett & Wilson, 1977). When internal causal states are weak, ambiguous, or uninterpretable, people may be forced to draw inferences about such states from their own behavior and other external cues (Bem, 1972). Furthermore, such inferences appear to be pervasive. People even infer humanlike dispositions from observations of the behavior of animals and inanimate objects (Epley, Waytz, & Cacioppo, 2007). The overall pattern is one in which people may have fairly limited insight into the causes of particular overt behaviors but nonetheless readily infer supporting goals and dispositions.

This inference process is represented in computational models of routine action that allow for non-goal-mediated routine responding can give rise to goal representations. For example, in Sun, Slusarz, and Terry's (2005) CLARION model, habitual responses that are controlled through bottom-up procedural knowledge can, over time, come to be represented in top-down rules via a rule-extraction-refinement algorithm. Similarly, Carver and Scheier (2002) discussed the possibility that goals develop in a bottom-up manner as emergent, self-organizing properties of dynamic systems rather than being imposed top down. As they explained, "dynamic processes at a low level may automatically (without intent) produce emergent patterns . . . with enough practice, the patterned information can be used top-down" (p. 312).

Although people make inferences from habitual responses about their goals and other dispositions, they are not completely insensitive to the cued origins of such responses. Wood et al.'s (2002) diary research compared the inferences participants made from their habits with inferences from nonhabitual, presumably more goal-dependent, forms of responding. In such comparisons, habits were judged less a product of internal dispositions and relatively uninformative to others about the self. The tendency to make less certain self-inferences from habits as opposed to other behaviors is consistent with evidence that procedurally based habit memory contributes little to the behavioral autobiography on which the self depends (for a review, see Klein, German, Cosmides, & Gabriel, 2004). This autobiographical self is thought to draw predominantly from the episodic component of declarative memory, thereby excluding procedurally based habits. Thus, people may underutilize habits as a basis for self-inferences.

An alternative prediction, of substantial input from habits to the self-concept, comes from Lieberman and Eisenberger's (2004) proposal that habits inform a distinct *intuitive self* that is not reliant on the behavioral memories provided through episodic memory. Supporting this idea, Lieberman, Jarcho, and Satpute (2004) reported that people making self-judgments in domains in which they had considerable experience, such as athletes judging themselves on athletic traits, showed activation of habitual control systems (i.e., basal ganglia, ventromedial PFC, amygdala, and lateral temporal cortex), whereas people making self-judgments in domains in which they had little experience, such as actors judging themselves on athletic traits, showed activation of nonhabitual

control systems (i.e., dorsolateral PFC and hippocampus). The researchers argued that habits may inform a kind of implicit self-knowledge that is not reliant on episodic memory. It remains to be seen whether post hoc inferences of goals can be made on the basis of this implicit self-knowledge.

It is thus unclear at present whether, as Lieberman and Eisenberger (2004) argued, habits are uniquely informative about people's intuitive self or whether, as Wood et al.'s (2002) diary research and Klein et al.'s (2004) studies of episodic memory suggest, habits are relatively uninformative about the self-concept compared with other behaviors.

*Self-regulatory implications of habits giving rise to goals.* The post hoc inferences that people make from their habitual responses are important because they provide a potential mechanism through which habits can be regulated in accordance with desired outcomes, even though the habit itself is not goal mediated. Within standard *test-operate-test-exit* models of self-regulation, goals provide a desired comparison standard against which current circumstances are tested (Carver & Scheier, 1998; G. A. Miller, Gallanter, & Pribram, 1960). When these tests indicate that behavioral outcomes deviate from those specified by a relevant goal, people *operate* on the behavior, or exert self-control, to bring it in line with the standard. In the next section of the article, we discuss the means by which people exert self-control over habits.

In the case of habits, how could such testing processes unfold given the lack of goal mediation? If people observe their habitual behavior and impute plausible goals to those actions, then this post hoc goal inference may provide a comparison standard by which the habit can be regulated. The inferred goal may or may not be the one that originally motivated response repetition and habit formation. For example, a student with a habit to do homework on the computer after dinner may infer that the behavior reflects his or her strong academic achievement goals. Once inferred, the goal can then be used as a comparison standard in testing for goal-inconsistent outcomes, such as surfing the Web. In this way, habits may be regulated via standard test procedures involving comparison with goals, even though a habitual response is not itself goal mediated.

### *Habits Interact With Goals to Guide Responses*

A third dimension of the habit-goal interface arises when responses are habitual and yet also directly related to a currently held goal state. In such cases, the slowly acquired context-response associations do not merge with the goal but instead the two dispositions interact in guiding behavior.

When habits and goals dictate the same response, our model suggests that goals in effect are rendered epiphenomena, as action control is outsourced to context cues that reliably covaried with past performance. Behavior prediction research bears out such a pattern in which goals typically correspond with, but do not appear to guide, habitual responses. As we noted already, the standard finding in behavior prediction research is that the strength of people's goals and the strength of their habits are each significant predictors of future performance. However, when goals and habits are considered jointly as predictors of future performance, they typically interact in their effects. The interaction reflects that goals do not predict future behavior when habits are moderate or high in strength. Instead, performance is a product of the strength of those

habits. This interaction has emerged in predicting frequency of driving a car, recycling waste, donating blood, watching TV, exercising, voting in national elections, and purchasing fast food (Aldrich, Montgomery, & Wood, 2007; Ferguson & Bibby, 2002; Ji & Wood, in press; Ouellette & Wood, 1998, Study 2; Verplanken et al., 1998; Wood et al., 2005). The interaction also has been reported in a meta-analytic synthesis of condom use (Albarracín, Kumkale, & Johnson, 2002) and a synthesis of studies assessing various everyday behaviors (Ouellette & Wood, 1998, Study 1). Also relevant, habit strength has been found to moderate the effects of personal moral norms (Klöckner, Matthies, & Hunecke, 2003) and self-concept as someone who performs the behavior (Ouellette & Wood, 1998, Study 2, with respect to watching TV). The findings conform to a pattern in which morals and self-concepts cease to predict behavior at moderate and strong levels of habit strength. Thus, behavior prediction research demonstrates that people can hold goals that are consistent with, but do not appear to guide, habitual responses.<sup>3</sup>

Longitudinal evidence of the reduced role of goals with increasing habit strength comes from Baldwin et al.'s (2006) investigation of the determinants of quitting smoking among people voluntarily participating in an 8-week smoking cessation program. At the initial stages of quitting and during the early stages of maintenance (i.e., quitting for 3 consecutive months), participants' success was predicted by aspects of their decision making and goals, including perceived efficacy of quitting and satisfaction with the outcomes of quitting. However, among the 13% of participants ( $n = 74$ ) who had quit for 9 months after the end of the program, only the number of continuous months that they had successfully quit predicted whether they continued not to smoke at 15 months. As Baldwin et al. (2006) explained, "once people have been quit for a relatively long period of time, their behavior (i.e., not smoking) becomes a function of itself (i.e., a habit) and, thus, is less sensitive to variability in their beliefs" (p. 632).<sup>4</sup> This longitudinal design corroborates cross-sectional behavior prediction work in demonstrating that, once habits form, behavior is guided by the strength of those habits, and goals become epiphenomena.

Habits and goals also have the potential to interact when the two dispositions conflict in their implications for action. Because habits represent the gradual accrual of context-response associations that are represented in a non-goal-dependent form, habits remain relatively intact in the face of new experiences and conflicting

<sup>3</sup> Goals' failure to predict future behavior when strong habits have formed does not appear to be due to some weakness or uncertainty in the goal judgments. Ji and Wood (in press) found that people with stronger habits reported holding their intentions with greater certainty, even though these intentions did not predict future behavior independently of habit strength.

<sup>4</sup> Readers may wonder whether nonresponses, such as quitting smoking, can be considered habits. Many nonresponses do not fit the definition of habits because they do not represent any particular learned association between context cues and responses. However, nonresponses might possibly be considered habits when they reflect the formation of automatic associations between cues and repeated acts of response inhibition or between cues and alternative responses (e.g., chewing gum in response to a cue linked to one's smoking habit). Such cue-response associations can be likened to those in studies addressing the learned extinction of goal-directed behaviors (see Bouton, 2000).

current goals. Evidence that habits persevere even when in conflict with goals comes from Webb and Sheeran's (2006) synthesis of 47 studies using persuasive appeals and other interventions to change people's behavioral goals. The central question was whether the interventions, which were selected because they significantly changed behavioral goals, would also change behavior. The answer depended on the habit strength of the behavior. Interventions that addressed behaviors conducive to habit formation, in that they could be performed frequently in stable contexts (e.g., seat belt use), yielded only minimal behavior change ( $d = 0.22$ ,  $k = 35$ ). However, interventions that addressed behaviors that were not conducive to habit formation (e.g., course enrollment) yielded more substantial behavior change ( $d = 0.74$ ,  $k = 12$ ). Thus, when people could form habits, they continued to perform the habitual response despite having adopted new behavioral goals.

Behavior prediction and intervention studies thus converge in demonstrating the moderating impact of habit strength on the predictive power of goals. Once habits are formed, goals are either epiphenomena (when in concert with habits) or exert little moderating impact on actual behavior (when in conflict with habits). Even though goals appear to have limited influence when in conflict with established habits, people obviously can exert self-control over many of their goal-inconsistent habitual responses. It is simply that with the behaviors studied in behavior prediction and intervention research, people typically do not do so. Limited self-control over everyday habits also is plausibly evident in self-regulatory failures that involve the repetition of unhealthful or otherwise unwanted behaviors (e.g., alcoholism, overeating, drug addiction). In these failures, people often are aware to some extent that their behavior deviates from desired standards. Baumeister and Heatherton (1996) thus concluded that people are in some sense complicit in many regulatory failures. As we explain, in the case of habits, complicity involves failing to operate, or exert self-control, over the offending habit so that it is inhibited in line with a conflicting goal.

*Self-control over habits as opposed to other cued responses.* One challenge to regulating habits is that they do not merge readily with conflicting goals, and therefore habit dispositions are not changed simply by adopting new goals or engaging in short-term behavior change. Instead, the means of effective regulation come from control over habit cuing.

Controlling stimuli and responses to them is central to regulation of other types of cued responses, including visceral reactions (e.g., Loewenstein, 1996) and impulsive *hot* responses (Metcalf & Mischel, 1999). However, the specific forms of such control that gain traction over affective and visceral responses are not necessarily the same as those that gain traction over habits. People can control visceral or emotional responses triggered by a stimulus by cognitively minimizing the affective qualities of cues. For example, in Metcalfe and Mischel's (1999) research on delay of gratification, children were better able to delay gratification when actively reinterpreting a tempting food treat in a manner designed to reduce its affective qualities, such as likening marshmallows to clouds, or when distracting themselves, perhaps by thinking about something else.

The cognitive strategies useful in controlling affectively based responses generally will be less successful with the direct cuing of habits. Given that habitual responses are directly activated by perception of cues, control of habits is not likely facilitated by

altering affective properties of those cues. In fact, being distracted or otherwise preoccupied appears to promote the performance of unwanted habits, as evidenced in Reason's (1990, 1992; see also Botvinick & Bylisma, 2005) work on action slips in everyday life. Furthermore, as we explain below, instead of inattention to the cue, high levels of vigilance to it appear to be effective at self-control of unwanted habit responses.

In contrast to the cognitive methods used to control affective cuing of impulsive responses, the directly cued nature of habit responding is sensitive to two particular forms of cue control. These are (a) inhibiting the performance of the habitually cued response once it has been activated and (b) altering actual exposure to the cue so as to avoid initial triggering of that response. This latter strategy is likely to be an all-purpose means of cue control that works with habits as well as other cued responses (see Metcalfe & Mischel, 1999).

*Inhibiting the performance of cued habit responses.* One way for people to control habit cuing is through sheer dint of will. That is, people may implement effortful control to override the habit disposition and prevent it from manifesting in behavior.

*1. Effortful self-control to inhibit habits.* The capacity to inhibit habits appears to depend critically on people's dynamic levels of self-control. Self-control can be considered a finite, domain-general resource that is depleted when people attempt effortfully to inhibit or override thoughts, emotions, and behaviors (e.g., Muraven & Baumeister, 2000). From this perspective, inhibiting habits requires sufficient regulatory capacity.

Demonstrating the relation between self-control capacity and habit inhibition, Vohs, Baumeister, and Ciarocco (2005) found that participants who first engaged in a resource-depleting task, such as a Stroop color-naming task, subsequently were less able to override characteristic (i.e., habitual) self-presentations in interactions with others. This research also demonstrated that overriding habitual self-presentations, such as presenting oneself as having gender-inconsistent attributes, reduced ability to self-regulate in subsequent tasks that require self-control, such as physical stamina in maintaining a hand grip (see also Tice, Butler, Muraven, & Stillwell, 1995). Thus, fluctuations in self-control resources appear to impair the inhibition of habits, and conversely, the inhibition of habits appears to deplete self-control.

More directly relevant to real-world habits, Neal and Wood (2007) conducted a daily diary study to investigate whether the impact of self-control depletion on habitual and nonhabitual behavior can impair both elements of successful self-regulation. Students identified a set of behaviors that they currently were attempting to implement (e.g., getting to class on time) and a set of behaviors that they were attempting to inhibit (drinking alcohol on weeknights), and their performance of these was monitored over a 4-day period. For 2 of the 4 study days, students' self-control was reduced by requiring them to use their nondominant hand for a range of everyday activities, thereby imposing a sustained inhibitory drain. On the days when self-control was lowered in comparison to when it was not, participants were significantly more likely to fail at inhibiting habitual behaviors. In contrast, self-control depletion had minimal impact on behaviors that participants wanted to implement as well as behaviors that they wanted to inhibit that were not habitual. Thus, compared with the control of other behaviors, the inhibition of strong habits appears to depend upon the availability of sufficient self-control capacity.

Thus, self-control depletion appears to impair two facets of self-control, involving the inhibition of existing habits and the implementation of new responses in place of familiar habits. These findings highlight the various ways that self-control capacity is a limiting factor in regulatory efforts to change habits.

The inhibition of unwanted habits may be aided by the use of particular approaches to self-control. An avoidance strategy is especially suited to inhibition given that it involves monitoring for exposure to triggering cues, vigilant control to ensure that the response is not elicited, and focus on the negative outcomes of performing a habitual response (see Förster, Higgins, & Bianco, 2003; Freitas, Liberman, & Higgins, 2002).

Demonstrating the utility of avoidance in the context of inhibiting unwanted behaviors, Quinn et al. (2007, Study 2) conducted an experience-sampling diary study of people's everyday attempts to change their responding. Participants reported each time they wished to change a thought, feeling, or behavior as well as the strategies they used to do so. Several days later, they reviewed their reports and rated the ultimate success of each change attempt. When change involved inhibiting a response rather than initiating one, participants were especially likely to report using avoidance-type strategies. Even more important, participants reported being more successful at inhibiting unwanted responses when using avoidance strategies rather than approach strategies that involved focusing on the desired response.

Quinn et al. (2007, Study 3) also conducted a follow-up experiment to clarify the causal relations suggested by the diary research. The experiment modeled the inhibition of habits using a laboratory word-association task (following Hay & Jacoby, 1996). In this task, participants' habit-based memories can be manipulated to be either in concert or in conflict with their intention-based memories. Replicating the findings from the diary study, Quinn et al. found that participants were most successful at inhibiting conflicting habits when instructed to use an avoidance strategy of being vigilant for errors and trying not to make mistakes by responding habitually to the memory cue. That is, avoidance was more successful than the approach strategy of striving to perform well and than a control condition in which participants were given no instructions. Furthermore, calculations of the amount of control exerted over responding indicated that an avoidance strategy disrupted habit performance by increasing successful exertion of control over responding rather than by reducing the influence of habit (see Hay & Jacoby, 1996).

It remains to be seen precisely how avoidance strategies enhanced habit inhibition in Quinn et al.'s (2007) diary and experimental data. One possibility is that avoidance increases the likelihood that people recruit the counterhabitual goal rather than recruiting only the habitual response. Another possibility is that avoidance somehow increases inhibitory capacity or sharpens the efficiency of inhibitory efforts so as to reduce their regulatory drain.

In summary, self-regulation of habits to align with goals can proceed through effortful inhibition of the cued response. This pattern reflects that goals gain little traction by themselves on the slowly accrued context-response associations that make up habits. Additional evidence of the effortful inhibition required for goals to control habit cuing comes from research demonstrating that habits are not readily regulated via automated behavioral goals that counter the habitual response.

*2. Inhibition via automatically activated goals?* In several studies, participants have automated goals that conflict with habits by forming implementation intentions linking context cues and habit-inconsistent responses (e.g., Betsch, Haberstroh, Molter, & Glöckner, 2004; Holland, Aarts, & Langendam, 2006; Verplanken & Faes, 1999). Although this enables the cue-goal association to be activated alongside the habit response, in our perspective, the automatic goal should have limited impact in breaking or changing the habit.

In evidence that automatic goals cannot break habits in this way, Betsch et al. (2004) established habits in a transportation game in which participants took certain subway routes to a final destination (see similar findings by Verplanken & Faes, 1999). After initial practice trials, Betsch et al. switched the correct routine, and participants were told to take alternative routes. Even though participants formed implementation intentions, so that they automated their new intentions by linking new routes to cuing events (e.g., to go to A-town, take blue line), they erred on about 50% of their responses by giving the previously practiced routes. Furthermore, participants' errors occurred despite the fact that the counterhabitual implementation intentions were reinforced by performance-contingent payment.

The alternative conclusion, that automatic intentions can break habits, was drawn by Holland et al. (2006) in a study of habits to dispose of plastic cups and paper. Across all participants, forming implementation intentions to recycle decreased the amount of trash thrown in the regular waste bins, thus apparently breaking habits of trash disposal. However, the analyses did not report the efficacy of implementation intentions as a function of participants' initial trash-disposal habits. Thus, it is unclear whether this intervention succeeded in changing behavior for those with strong habits to throw trash in the waste bins. We would anticipate that automatic goals had traction primarily over weak habits. Change of strong habits should require sufficient regulatory resources to inhibit the habitual response and implement the goal-consistent one.

Our proposal that habits can be regulated by control of cuing highlights a second set of regulatory mechanisms to promote goal pursuit in the face of conflicting habits. That is, control can arise from altering exposure to context cues so as to avoid initial triggering of associated habitual responses. Such a strategy is reminiscent of Metcalfe and Mischel's (1999) analysis of delay of gratification in which children inhibit impulsive responses by reducing the salience of hot stimulus cues (e.g., obscuring a tempting food treat out of sight).

*Altering exposure to context cues.* People's narrative accounts of their own change attempts suggest the usefulness of altering exposure to cues in the performance environment. In Heatherton and Nichols's (1994) research on everyday behavior change, approximately 36% of participants' reports of successful change attempts involved moving to a new location, whereas only 13% of reports of unsuccessful attempts involved moving. Also, 13% of successful change reports involved some alteration in the immediate performance environment, whereas none of the unsuccessful reports involved such shifts in cues.

Of more direct relevance to habits, behavior modification approaches have long recognized the benefits of altering performance contexts in order to disrupt habit cuing. For example, stimulus control is a component of addiction treatments in which addicts are trained to avoid situational triggers such as the loca-

tions of past use and the presence of other users (e.g., Witkiewitz & Marlatt, 2004). Typically, therapeutic habit change interventions rely on people's effortful attempts to limit their exposure by altering or avoiding habit cues in their environment. Such attempts to alter cue exposure can themselves require exerting some level of self-control. After all, placing groceries out of sight in the kitchen may successfully reduce consumption by altering food cues (Sobal & Wansink, 2007), but it might require some effort to remember to do so and to inhibit snacking while placing them there.

An alternative to intentional control over exposure to habit cues arises with naturalistic changes in life circumstances that alter the contexts in which people perform everyday habits. To illustrate this possibility, Wood et al. (2005) studied college students transferring to a new university. One month before and 1 month after the transfer, students were contacted to report on several everyday behaviors (i.e., exercising, reading the paper, watching TV). Some of the students reported that the transfer brought about changes in the performance context for these activities—including changes in locations (e.g., exercising at the gym) and interaction partners (e.g., reading the newspaper with one's roommate). Whether participants maintained habits for performing these behaviors at the new university depended on the consistency of the performance context. Participants with strong habits at the old university who reported that the performance context did not change across the transfer also maintained their habits. For example, a regular pattern of reading the paper at the old university carried over to the new university. Furthermore, the carryover occurred regardless of students' behavioral goals for reading the paper at the new university. However, participants with strong habits at the old university who reported that features of the performance context changed with the transfer did not maintain their habits. With a change in context, students apparently were spurred to think about their behavior, and despite their old habits, their actions came in line with their goals at the new university. In contrast, context changes did not matter for students with weaker habits; they acted on their goals both before and after the transfer. Additional analyses revealed that for those with strong habits, changes in behavior with the transfer could not be explained through the new contexts producing changes in goals (see Wood et al., 2005).

In the naturalistic changes in life circumstances evaluated by Wood et al. (2005), people's exposure to habit cues was altered by external forces that did not require regulatory efforts either with respect to avoiding triggering cues or with respect to inhibiting responses once cued. The serendipitous change in context appeared to liberate responses so as to be sensitive to goals, as evidenced by the influence of goals in guiding performance.

In summary, when the outcomes of habitual responding conflict with outcomes that people wish to obtain, the slowly acquired context–response learning underlying habit dispositions does not shift readily in accord with people's current goals. Instead, habits and goals interact such that one or the other guides responding. We identified two mechanisms through which people regulate unwanted habits in ways that promote goal pursuit. First, habits can be controlled through effortful inhibition of performance once triggered. Habit change as an inhibitory process depends on the availability of sufficient self-control resources. Second, habits can be controlled through altering exposure to the cues themselves, and altering cues sometimes may require self-control resources. Through these two regulatory mechanisms, habit cuing is disrupted

so as to bring behavior in line with goals. We discuss these points further in the next section of the article, with respect to habit change interventions.

### Summary and New Directions From the Habit Model

We presented our model in the form of three principles. Specifically, habits are a form of slowly accrued automaticity that involves the direct association between a context and a response (Principle 1), so that the context can activate the response without the mediating involvement of a goal (Principle 2). Furthermore, habit development and performance interface with the purposive dimension of mental life as represented in people's goals (Principle 3).

The advantage of conceptualizing habits in this way is evident in the range of empirical findings accounted for by our model. These can be summarized in a core pattern in which the slowly accrued context–response associations, once established, guide performance repetition without depending on people's current goals. This pattern plays out in various ways in people's overt responses. Specifically, explicitly held goals to respond appear relatively unsuccessful at predicting subsequent habit performance (e.g., Ouellette & Wood, 1998). Established habits also maintain despite changes in people's goals to respond that are held explicitly (Webb & Sheeran, 2006) or that are automated through planning (Betsch et al., 2004). The habit pattern also is evident in neuroimaging data showing reduced reliance on goal-related brain systems during habit performance (e.g., E. K. Miller & Cohen, 2001). It also emerges in animal learning paradigms in which habit performance persists despite changes in goal-relevant outcomes (i.e., reinforcer devaluation studies, Dickinson & Balleine, 2002) or changes in the specific responses required to achieve goal outcomes (i.e., place learning studies, Packard, 1999). In these ways, habit dispositions are relatively insulated from the effects of adopting and pursuing new goals.

The utility of our conceptualization of habits also is evident with respect to Principle 3's articulation of the multiple ways in which habits can interface with goals. This interface takes particular forms that are constrained by the slowly accruing nature of context–response associations. First, habits can work in the service of goals. Consistent with the idea that people can form habits when they repeatedly pursue a particular means to a goal in a given context, habits typically remain correlated with and thus continue to serve people's goals (e.g., Ouellette & Wood, 1998). We also speculated that people can, through goal pursuit, place themselves in contexts that cue habits. Second, people can infer goals from their habitual behavior, and they plausibly use these post hoc inferences in self-regulatory processes to guide habit responding. Third, goals and habits interact when both are present to guide performance. Specifically, when in concert with habits, goals tend to be epiphenomena in guiding behavior (e.g., Ouellette & Wood, 1998). When in conflict with habits, goals by themselves have limited capacity to break habits, except when alterations occur in the cues that trigger habits (Wood et al., 2005) and when people exert effortful self-control to inhibit habit performance and, when desired, to implement new, goal-consistent behaviors (e.g., Neal & Wood, 2007; Quinn et al., 2007; Vohs et al., 2005).

### *Forms of the Habit–Goal Interface*

Our model provides a framework to generate new research questions concerning the ways in which habits and goals can interface in guiding action. Illustrating these new areas of inquiry is the variety of ways in which habits can act in the service of goals. For example, habitual context–response associations, having become decoupled from the originating goal, may be open to be co-opted in pursuit of alternative goals. That is, habits can come under the top-down control of new goals unrelated to those that initiated habit formation. Such co-opting might occur because there is no scope for interference between a new goal and the non-goal-mediated habit disposition.

Experimental findings suggestive of co-opting of habits by goals come from task-switching research using a simple key-pressing skill acquisition task (Mayr & Bryck, 2005). This paradigm is ideal to reveal co-opting of habits because each cued press response could potentially meet multiple goals. Specifically, to indicate what computer key to press on a given trial, participants were given a rule or goal indicating movement across the keypad in a particular direction (e.g., clockwise, vertical). When participants had only a little practice, switching a rule across trials inhibited speed of responding. That is, participants responded more slowly to the rule to move clockwise (e.g., from the top right of the keypad to the bottom right) if the previous trial had involved the same key-press movement (e.g., from the top right to the bottom right) to the rule to move vertically. Goals for action were thus sticky, and responding in a given manner for one goal interfered with subsequent responding in the same manner for a different goal.

When respondents were given extensive practice at Mayr and Bryck's (2005) key-pressing task, their responding became habitual, and the goals no longer stuck to the cue–response association. For example, when participants did (versus did not) extensively practice a response under the vertical rule, their performance was facilitated when switching to using the response under a clockwise rule. Having just made a response enabled participants to make it quickly again, despite that the two responses were in service of different rules (goals). Although it is admittedly speculative, the idea that new goals can co-opt habits that produce a goal-relevant outcome follows from the lack of goal-mediation of the habit disposition. Having become decoupled from goal systems, habits do not suffer interference due to the original goal that formed the habit association. It also may be that this phenomenon contributes to instances of skill transfer (Barnett & Ceci, 2002). We suggest that this is just one of many unexplored ways that habits interface with goals while retaining the basic structure of context-cued responding.

### *Regulation of Habits*

Scholars historically have questioned the logic of habit performance without input from goals, because it is not clear how people would regulate such responses. Humans do not persistently perform habits entirely without regard to response outcomes, and thus habits must be subject to some form of regulatory processing. As G. A. Miller et al. (1960) argued, traditional S-R models fail to accommodate regulation because they lack a feedback process for determining whether actions are moving toward or away from

some goal or desired outcome. In our new model, habits are regulated in part through their interface with goals. As we explained in Principle 3, even though goals may not be required to mediate habit performance, people can infer in a post hoc manner what goals might be served by their habits. We suggest that this inferred goal can then serve as the comparison standard posited by traditional test–operate–test–exit models, enabling tests for discrepancies between the inferred goal and the actual habit outcomes. People then can operate on the habit to better align responding with the inferred goal.

The process of matching behavioral outcomes to inferred goals may be sufficient to account for many instances of habit regulation of habits. Nonetheless, it is also possible that some basic forms of regulation also can proceed entirely in the absence of goals. A plausible regulatory mechanism for habits and other forms of response that do not depend on goals is emerging from work on the neural basis of conflict detection. Apparently, people can identify errors in diverse forms of responding without a representation of the correct response or any feedback regarding the outcome of the action or representation of the correct, desired response (Botvinick, Braaver, Barch, Carter, & Cohen, 2001; Yeung, Botvinick, & Cohen, 2004). This capacity is thought to be subserved by the anterior cingulate cortex (ACC), which is an executive PFC circuit located on the medial surface of the frontal lobes.

Activity in the ACC consistently increases following errors in choice reaction time tasks (e.g., Gehring, Goss, Coles, Meyer, & Donchin, 1993). Initially, this activation was thought to show that the ACC is responsible for detection of errors or mismatches between actual responses and intended, correct responses. More recently, imaging data and connectionist simulations have suggested that ACC activation during performance of these tasks is not signaling the detection of errors per se but rather the presence of conflicts between multiple activated responses (see Botvinick et al., 2001; Yeung et al., 2004). One consequence of ACC activation is reengagement of the PFC and more purposive, conscious guidance of action to address the conflicting response tendencies. Specifically, when the ACC detects the presence of multiple competing responses or the absence of any clear response option, it can signal an imminent error without directly assessing response accuracy or registering feedback regarding actual outcomes. Conscious control via goal-mediated systems can then be engaged to guide behavior in line with current goals.

With respect to habits, conflict detection mechanisms may prove relevant when multiple responses are cued by a given context, as when people's automated goals activate one response and habit dispositions another. In such cases, conflict detection mechanisms may signal the need for controlled processing simply by detecting the presence of two incompatible responses. Although it remains to be seen whether response conflict constitutes a broadly applicable mechanism of habit regulation, it has the potential to liberate theorizing about regulatory control from assumptions about comparison standards in the form of goals.

### *Habits and Implicit Goal Pursuit*

An additional question raised by the present framework concerns the conditions under which response repetition leads to habit formation. To this point, we have been silent about the factors that determine whether repetition leads to habit formation versus other

forms of automaticity, especially automatic goal pursuit. Although we can only speculate on these processes, it seems plausible that any factors that ensure continued activation of a goal during learning of context–response associations will promote goal-dependent automaticity over habits. When goals remain active during the development of automaticity, the associative structures that form through repetition are plausibly more likely to continue to incorporate goals rather than direct context–response associations as reflected by habits.

What factors might promote the continued activation of goals in automated responding and thus undermine the transition to habitual forms of automaticity? Response complexity is one possibility, given that complex responses are likely to require continued reference to the goal to ensure effective performance. Our reasoning here draws on animal learning research that has explored the moderating effect of response complexity on habit development. In this literature, complex tasks are operationalized as ones in which an animal may “execute either of two different actions to obtain two different rewards” (Daw et al., 2005, p. 1705). In support, behavioral data suggest that complex responses continue to be sensitive to goal value, even given extensive training and hence opportunity for habit formation (Colwill & Rescorla, 1988).

Another possible factor that might preserve goal activation, thereby hindering habit development, is the extent to which context cues are associated with few, rather than many, responses. This prediction builds off of the idea of goal equifinality, which reflects the extent to which a given goal is linked to multiple possible behavioral means (captured in the expression, “All roads lead to Rome”). In goal systems theory, the link between a goal and any one behavioral means is diluted in proportion to the number of other means to which the goal is linked (Kruglanski et al., 2002). Similarly, we suspect that the greater the numbers of behaviors linked to a given context, the lesser the capacity for the context to cue any one behavior directly. For example, cues such as one’s mother are associated with a number of different responses, potentially yielding conflict in responding that could be resolved by consulting relevant goals. Thus, the effects of cues associated with multiple responses are not plausibly explained through direct cuing and more likely are due to priming broad goals (e.g., Shah & Kruglanski, 2002).

We speculate that attention to action is another factor that promotes activation of goals despite continued repetition. Neuroimaging data suggest that automatic responses that receive attention during execution are likely to engage systems involved in goal pursuit (i.e., PFC), even though the same automatic responses executed without attention fail to engage such systems (Jueptner et al., 1997; Rowe, Friston, Frackowiak, & Passingham, 2002). Therefore, responses that attract continued attention may, with repeated performance, be automated in ways that reflect this continued engagement of goals. Such conditions are likely to promote the formation of automatic goal pursuit as opposed to habits. The neuroimaging research on attention to automated action also has implications for broader understanding of automaticity (Neal & Wood, in press). Research paradigms that require participants to attend to what would otherwise be unattended responses could inflate the apparent goal dependence of the automated response and, in turn, underestimate the incidence of habits and other non-goal-dependent forms of automaticity.

Only indirect evidence supports our speculations regarding the factors that promote automated goal pursuit as opposed to habits. Especially given that our reasoning has drawn predominantly from animal learning research and fairly low-level behavioral tasks, systematic investigation is needed to test our suggestion that continued activation of goals during repetition hinders habit learning and to determine whether the specific factors of response complexity, equifinality, and attention to action promote this continued activation.

### *Interventions for Habit Change*

Although our model broadly addresses the psychological mechanisms underlying habit formation and performance, we suspect that its principles will be tested most extensively within the specific context of changing unwanted habits. Understanding how to design successful interventions to bring about changes in habits is of sharp interest, especially to clinical, health, and consumer psychologists.

Our model offers a fresh approach to behavior change interventions by highlighting the mechanisms through which effective habit change is likely to be accomplished, in particular, by the control of habit cuing. Although systematic behavior change interventions undoubtedly involve a host of considerations in addition to cue control, we propose that this is a necessary component of the habit element of behavior change. In this spirit, we identify promising directions in control of habit cuing that could be elaborated into formal interventions to change habits.

Our approach to habit change contributes to the emerging social–cognitive–behaviorist synthesis within psychology (see Metcalfe & Mischel, 1999). In classic behavior modification approaches, behavior change is instigated largely through manipulations of environmental contingencies (see Follette & Hayes, 2001). For example, treatment of addiction might include stimulus control through removing or avoiding people, places, and other stimuli that in the past have been associated with the reinforcing value of the addictive substance. With the cognitive revolution and shift to an internal causal locus for behavior, the focus of change interventions shifted accordingly. Following this tradition, many current models focus on changing people’s decision making about their actions (e.g., Simonson, 2005) or their beliefs about action outcomes and performance efficacy (e.g., expectancy–value models, Albarracín et al., 2003; health belief model, Glanz, Rimer, & Lewis, 2002; protection motivation theory, Floyd, Prentice-Dunn, & Rogers, 2000). Furthermore, the most popular model of habit change in clinical and health settings, the transtheoretical model (Prochaska, DiClemente, & Norcross, 1992), focuses broadly on a range of experiential and behavioral processes through which people can accomplish change in unwanted behaviors (e.g., self-reinforcement, social support), without giving any special priority to controlling cues in the performance context. According to our synthetic model, people can break habits by exerting control downstream of a habit cue, after exposure to the cue has activated the response in memory. Such control is exemplified by effortful inhibition or suppression of the habitual response. Control also can occur upstream of the cue, before the response has been activated. Such control arises from decisions to avoid or alter the cue itself (e.g., reducing the habitual reading of new e-mail by disabling the



autonotify option) or from exploiting naturally occurring changes in cues (e.g., as when changing jobs or moving houses).

Control of habit cuing that is initiated downstream involves actively inhibiting the cued response. Such inhibition appears to be effortful and to draw on a limited regulatory resource (Neal & Wood, 2007; Vohs et al., 2005). In our research, inhibition was successful at overriding habit cuing especially when it took the form of avoidance involving vigilant monitoring for the unwanted automated response (Quinn et al., 2007). Avoidance focus appears to enhance controlled processes and thereby the effectiveness of inhibitory control.

Avoidance is likely just one of a number of strategies that are effective at controlling habitual responses triggered by context cues. Other possibilities are suggested by behavior modification approaches, including counterconditioning or training to associate the triggering cue with a response that is incompatible and thereby conflicts with the unwanted habit. Although in naturalistic studies, performing an incompatible behavior did not appear to be an especially successful strategy for inhibiting unwanted responses (Quinn et al., 2007), it has proved useful in more structured change interventions (see Follette & Hayes, 2001).

Effortful inhibition of responding is known to have a number of undesirable effects, and intervention strategies built simply on such inhibition are unlikely to be sufficient to bring about long-term change in habits. Although inhibition in our diary research was successful at changing everyday responses in the short run (e.g., Quinn et al., 2007), long-term inhibition has been found to increase negative affect, generate preoccupied thinking about the inhibited response (Polivy, 1998), and produce ironic effects involving increases in the unwanted responding (Wenzlaff & Wegner, 2000). In addition, it is unclear whether people can sustain effortful inhibitory efforts in daily life. People's capacity to inhibit is reduced with everyday fluctuations in their self-control resources (Neal & Wood, 2007), and people have difficulty sustaining attempts to inhibit tempting behaviors that provide immediate pleasure despite being inconsistent with longer term goals (see Baumeister & Heatherton, 1996, on self-regulatory failures of overeating, alcoholism, etc.). Although discussion of the mechanisms by which people bolster their change efforts is beyond the scope of the present article, people potentially can use a variety of counteractive control strategies to foster adherence to long-term goals in favor of immediate temptations (e.g., self-imposed penalties, see Fishbach & Trope, 2005).

We speculate that effortful inhibition contributes most productively to behavior change interventions when the suppression of habit performance is paired with learning and performing a new, desired response. That is, inhibition might be effective as a short-term strategy to suppress a habitual response so as to enable a new, goal-consistent pattern of responding to be established. When the new response is repeated in contiguity with context cues, new habits might be formed. For example, a dieter's effortful inhibition of his or her unhealthy eating habits may promote long-term behavior change only insofar as it creates a temporary window of opportunity in which to establish new, healthful eating patterns. In this view, the inhibition of habit cuing is a short-term means of control that, although perhaps unsustainable, enables the development of new, more desired patterns of response. However, we note that when newly learned associations override older ones (e.g., extinction), the new learning is inherently unstable such that the original learning may

readily recur under a variety of circumstances (Bouton, 2000; Schmajuk, Larrauri, & LaBar, 2007).

Control of habit cuing occurs upstream when people's exposure to relevant context cues is altered or disrupted in some way. This control of cue exposure can result from deliberate decision making or from serendipitous changes in the habit performance context. With respect to deliberate decision making, interventions that promote avoiding contact with habit cues are widely used in the treatment of addictions (e.g., Witkiewitz & Marlatt, 2004). Also relevant to individual decision making, interventions that alter simple cues in eating contexts have been found successful in control of habits to overeat (Sobal & Wansink, 2007). For example, the amounts of food and drink that people serve and consume decrease with smaller sizes of plates, spoons, and glasses. Thus, by using small plates and utensils, people could take advantage of the fact that their habits for serving size are cued in proportion to container size, with only limited adjustment for the absolute sizes of the containers.

Individual efforts to control habit cuing upstream of a behavior potentially suffer the drawbacks of lack of sustainability, preoccupied thinking, and ironic effects found with active inhibition. That is, attempts to alter or avoid exposure to habit cues may not reduce the need for people's effortful control but simply relocate it to be needed earlier in the behavior stream. Altering or avoiding cuing in some cases involves sustained effortful self-control to identify the cues correctly and to avoid exposure to them. Like effortful inhibitory strategies, this effortful control of cue exposure is likely vulnerable to fluctuations in self-control resources and to ironic and other counterproductive effects that derail change efforts. Such efforts to control cue exposure, even though not sustainable themselves, could be effective to the extent that they provide people with opportunities to learn new responses.

An alternative to effortful, deliberate control of cue exposure is provided by serendipitous changes in performance contexts that occur naturally as a function of life events. As illustrated in Wood et al.'s (2005) study of students transferring to a new university, when the transfer involved change in the cues that triggered habits, habit performance was disrupted, and students' responses came under the control of their behavioral goals instead of their habits. By removing habit cues, the changes in performance circumstances promoted performance in line with people's goals. Building on these findings, Verplanken and Wood (2006) proposed that naturally occurring changes in performance contexts such as moving houses or changing jobs can be treated as opportunities for habit change interventions. If people are best able to act on their goals when related habits are disrupted, then it is during these times that people's overt responses are most likely to be vulnerable to change through persuasive messages and other informational interventions. The logic is to apply behavior change interventions when people are best able to respond. Illustrating how this might work, local governments seeking to increase use of public transport would target new residents who have yet to establish car use habits in their new locale and who are likely to be most susceptible to the information provided.

In summary, interventions to break habits are best tailored to address the processes of habitual responding to cues. In this view, the often-seeming intractability of habitual behavior is partly a product of interventions that fail to accommodate the close dependence of habits on the contexts in which they are performed.

Habits are not easily changed through persuasive appeals that target people's goals. Instead, interventions to maximize habit change provide people with concrete tools for controlling habit cuing. One possibility is to exert short-term, effortful inhibition that plausibly creates a window of opportunity for establishing new, goal-consistent patterns of response. Another possibility is to alter or avoid exposure to cues, a strategy that can involve deliberate decision making or exploiting serendipitous changes in performance contexts. We see rich opportunities for development of these approaches to the control of habit cuing in behavior change interventions.

In concluding this article, we note that despite promising initial returns, our new habit model is still in its early days. In this final section, we highlighted some new questions that flow from our approach. These include the variety of ways that goals interface with habit responding, in particular the possibility that goals might co-opt habits that serve similar ends to the goals. We speculated that this interface is made possible by the lack of a competing goal representation in context–response associations that comprise habits, which renders habits potentially compatible with multiple goals. We also raised the possibility that people can regulate habit performance through conflict-detection mechanisms involving the ACC that do not require the activation of goals and the matching of response outcomes to those goals. Although conflict signaled through competing response tendencies provides a promising mechanism for habit regulation, the specific application to habits has yet to be developed. We also raised questions about the conditions under which response repetition yields automatic goal pursuit as opposed to habits. We speculated that a variety of factors preserve the active role of goals in guiding performance during repeated responding, including response complexity, equifinality, and attention to action. Finally, we considered the implications of our model for interventions to change habits. We proposed that these are most effective when they address the cuing of habit performance, either through inhibiting habit responses once activated or avoiding or altering exposure to the cues. The current model provides a starting point for launching investigations into these questions.

More generally, we have articulated a view of habits that moves beyond the behaviorists' conception of simple S-R associations and places the habit construct within the broader structure of goal pursuit. In this approach, the habit construct retains its rigid, context-cued nature yet also interfaces with goals in ways that allow for mutual influence and for habits to be regulated in line with goals. In delineating the habit disposition in this way, our model provides a framework for understanding, predicting, and changing that unique component of everyday life in which behavioral control has been outsourced directly onto the context cues contiguous with past performance.

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