

Some counting problems¹

Ordered non-negative partitions of an integer: the number $S_{N,n}$ of *non-negative* solutions of

$$x_1 + x_2 + \dots + x_n = N \tag{1}$$

is

$$S_{N,n} = \binom{N+n-1}{n-1}. \tag{2}$$

ONE WAY TO SEE IT: put $N+n-1$ boxes in a row, then put the plus sign in $n-1$ of them; this establishes a bijection between the box configurations and solutions of the equation (1). For example, with $N=9$, $n=4$, the following configuration

$$\square\square \boxplus \boxplus \square\square\square\square\square\square \boxplus \square$$

corresponds to the ordered partition $9 = 2 + 0 + 6 + 1$.

The total number of these box configurations is given by (2).

VARIATION: ORDERED PARTITIONS WITH LOWER BOUND, that is, non-negative integer solutions of (1) satisfying $x_k \geq \ell_k$ for some positive integers ℓ_k . This is reduced to the original problem with the same n , but with $N - \sum_{i=1}^n \ell_i$ instead of N . The reason: corresponding box configuration will have only $N+n - \sum_{k=1}^n \ell_k$ places to put the pluses. Equivalently, replacing x_k with $x_k - \ell_k$ reduces the problem to an unrestricted one. For example,

$$S_{N,n}^+ = \binom{N-1}{n-1}$$

is the number of *positive* solutions of (1) and corresponds to taking $\ell_i = 1$ for all i .

The general counting technique, establishing a bijection between the objects we want to count and the linear configurations of two types of objects, is known under names **stars and bars**, **sticks and stones**, **balls and bars**, and **dots and dividers** (and maybe more).

Multinomial formula:

$$(x_1 + \dots + x_n)^N = \sum_{k_1+\dots+k_n=N} \frac{N!}{k_1!k_2!\dots k_n!} x_1^{k_1} x_2^{k_2} \dots x_n^{k_n}$$

Example:

$$\begin{aligned} (a+b+c)^3 &= a^3 + b^3 + c^3 && [3 = 3 + 0 + 0] \\ &+ 3(ab^2 + ba^2 + ac^2 + ca^2 + bc^2 + cb^2) && [3 = 2 + 1 + 0] \\ &+ 6abc && [3 = 1 + 1 + 1] \end{aligned}$$

FURTHER READING: Young tableau, Young diagram, etc.

Runs in Bernoulli trials: In a finite sequence of tosses of a coin, a **run of heads** is a consecutive appearance of heads. For example, each of the following three sequences

$$(a) HHTTTHTHHTTT \quad (b) THHTTTHTHHTT \quad (c) TTT HHTTTHTHH \tag{3}$$

has three runs of heads and the total length 12. By convention, the number of runs of tails in each case is *four*: the first sequence started with H , and the convention is to say that the first run of tails has length 0; similarly, the third sequence ends with heads, and the convention is to say with the fourth run of tails has length 0. When the coin is fair and the tosses are independent, all 2^N sequences of length N are equally likely. Then, given that the sequence contains n heads and $m = N - n$ tails, the probability to have r runs of heads is

$$R_{n,m;r} = \frac{\binom{n-1}{r-1} \binom{m+1}{r}}{\binom{n+m}{n}}.$$

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INDEED, if x_k is the number of heads in the k -th run, we are counting the number of *positive* solutions of $x_1 + \cdots + x_r = n$; similarly, with tails, we have $y_1 + \cdots + y_{r+1} = m$, with $y_1 \geq 0$, $y_{r+1} \geq 0$ and $y_k \geq 1$ for $k = 2, \dots, r$.

For each of the three sequences in (3), $N = 12, n = 5, m = 7, r = 3$, and

$$R_{5,7;3} = \frac{14}{33}.$$

By comparison,

$$R_{5,7;1} = \frac{1}{42} R_{5,7;3},$$

quantifying our intuition that each of the three sequences in (3) is a more “reasonable” outcome of 12 tosses of a fair coin than either $HHHHHTTTTTTT$ or $TTTTTTTTHHHHHH$. Given that each of the five sequences has the same probability to appear (namely, 2^{-12}), these considerations can lead to a much deeper investigation of what “random” actually means.

An antenna system: Have N antennas in a row, of which m are defective. The system works if no two defective antennas are next to each other. Then the probability $p_{N,m}$ that the system works is

$$p_{N,m} = \frac{\binom{N-m+1}{m}}{\binom{N}{m}}. \quad (4)$$

INDEED, the total number of ways to place m defective antennas among the total of N is $\binom{N}{m}$; the number of places for defective antennas in a working configuration is $N - m + 1$: $(d)w(d)w(d)w \cdots (d)w(d)$, where w represents a working antenna and (d) represents a possible location of a defective antenna; the number of working arrangements is therefore $\binom{N-m+1}{m}$.

Ice cream cone: Have N flavors, can put m scoops in the cone; order does not matter; repetitions are allowed. Then the total number $C_{N,m}$ of different cones is

$$C_{N,m} = \binom{N+m-1}{m}. \quad (5)$$

ONE WAY TO SEE THIS: automated procedure for generating the cone using a sequence of black and white squares.

- order the flavor *somehow* by assigning a number to each flavor;
- each cone is represented by sequence like

$$1 \blacksquare \square 2 \blacksquare \blacksquare \square 3 \square 4 \square 5 \blacksquare \square 6 \square 7 \square 8 \blacksquare \blacksquare \blacksquare \quad (6)$$

- a black square \blacksquare next to the number means a scoop of that flavor is included in the cone;
- a white square \square mean move to the next flavor. In (6),
 - $N = 8, m = 7$;
 - flavors 3,4, 6, 7 are not included, 1 and 5 are scooped once, 2 is scooped twice, and the last flavor 8 is scooped three times.
- As a result, we have $N + m - 1$ white squares to begin, m of which will become black [giving the instruction to scoop].
- The total number of the resulting sequences is given by (5).