

2009, Fall

**Problem 1.**

- (a) Let  $p : \tilde{N} \rightarrow N$  be the cover corresponding to the subgroup  $f_*(\pi_1(M)) \subset \pi_1(N)$ . This cover has  $k$  sheets, where  $k := \deg(p) = [\pi_1(N) : f_*(\pi_1(M))]$ ; note that  $k$  is a finite integer by assumption, and is nonzero since  $p$  is a covering map. By definition of  $p$ , there exists a lift

$$\begin{array}{ccc} & \tilde{N} & \\ \exists \tilde{f} \nearrow & \downarrow p & \\ M & \xrightarrow{f} & N, \end{array}$$

whereby  $\deg(f) = \deg(p \circ \tilde{f}) = \deg(p)\deg(\tilde{f}) = [\pi_1(N) : f_*(\pi_1(M))]\deg(\tilde{f})$ .  $\square$

- (b) The antipodal map  $a : S^2 \rightarrow S^2$  given by  $a(x) := -x$  has  $\deg(a) = -1$ , but since  $S^2$  is simply connected, we have  $[\pi_1(S^2) : a_*(\pi_1(S^2))] = [1 : 1] = 1$ .  $\square$

**Problem 2.**

**No.** Suppose  $f : \mathbb{R}^2 \rightarrow \mathbb{R}^2$  is differentiable and has  $df(\frac{\partial}{\partial x}) = X, df(\frac{\partial}{\partial y}) = Y$ . Then

$$x \frac{\partial}{\partial x} + \frac{\partial}{\partial y} = X = df\left(\frac{\partial}{\partial x}\right) = \frac{\partial f_1}{\partial x} \frac{\partial}{\partial x} + \frac{\partial f_2}{\partial x} \frac{\partial}{\partial y}, \quad -\frac{\partial}{\partial x} + x \frac{\partial}{\partial y} = Y = df\left(\frac{\partial}{\partial y}\right) = \frac{\partial f_1}{\partial y} \frac{\partial}{\partial x} + \frac{\partial f_2}{\partial y} \frac{\partial}{\partial y}$$

and this in particular gives the system of equations

$$\frac{\partial f_2}{\partial x} = 1, \quad \frac{\partial f_2}{\partial y} = x.$$

The equation on the left gives  $f_2(x, y) = x + g(y)$ , for some function  $g : \mathbb{R} \rightarrow \mathbb{R}$  of  $y$ , but the equation on the right gives  $f_2(x, y) = xy + h(x)$ , for some function  $h : \mathbb{R} \rightarrow \mathbb{R}$  of  $x$ . These two expressions for  $f_2$  can't agree on all of  $\mathbb{R}^2$ .  $\square$

**Problem 3.**

If there are no points  $x \in S^n$  with  $f(x) = x$ , then  $f$  is free of fixed points, and is thus homotopic to the antipodal map  $a : S^n \rightarrow S^n$  given by  $a(x) := -x$ , by [problem 3 of 2014, Fall](#). But then  $\deg(f) = \deg(a) = (-1)^{n+1}$ , a contradiction. Similarly if there are no points  $x \in S^n$  with  $f(x) = -x$ , then  $-f$  is free of fixed points, and is therefore homotopic to  $a$ . Then

$$\deg(a)\deg(f) = \deg(a \circ f) = \deg(-f) = \deg(a) \implies \deg(f) = 1,$$

again a contradiction.  $\square$

**Problem 4 (?)**

- (a) Let  $g : M \times B^2 \rightarrow \mathbb{R}^n$  be given by  $(x, y) \mapsto x - f(y)$ , and observe that

$$\text{im}(g) = \{v \in \mathbb{R}^n \mid \text{there are } x \in M, y \in B^2 \text{ so } x = v + f(y)\} = \{v \in \mathbb{R}^n \mid T_v(\text{im}(f)) \cap M \neq \emptyset\}.$$

Now for any  $v \in \text{im}(g)$  and any  $(x, y) \in g^{-1}(v)$ , the map  $\text{dg}_{(x,y)}(M \times \mathbb{B}^2) \rightarrow T_v \mathbb{R}^n$  is nonsurjective since  $\dim_{\mathbb{R}}(M) \leq n - 3$  and  $\dim_{\mathbb{R}}(\mathbb{B}^2) = 2$ , so  $\dim(\text{im}(\text{dg}_{(x,y)})) \leq n - 1$ . Hence  $v$  is a critical value of  $g$ . So by Sard, the complement

$$\mathbb{R}^n \setminus \text{im}(g) = \{v \in \mathbb{R}^n \mid T_v(\text{im}(f)) \cap M = \emptyset\}$$

contains all of the regular values of  $g$ , and thus has full measure in  $\mathbb{R}^n$ . Therefore  $\mathbb{R}^n \setminus \text{im}(g)$  contains arbitrarily small vectors.  $\square$

- (b) Take any  $g : \mathbb{S}^1 \rightarrow \mathbb{R}^n \setminus M$ ; we're done if we can show that  $g$  is nullhomotopic. Consider a (continuous) map  $f : \mathbb{B}^2 \rightarrow \mathbb{R}^n$  which glues  $\partial \mathbb{B}^2$  onto  $g(\mathbb{S}^1)$ . Since  $M$  and  $g(\mathbb{S}^1)$  are disjoint compact sets, then there exists an open neighborhood  $U \supset g(\mathbb{S}^1)$  disjoint from  $M$ .

Thinking of  $\mathbb{B}^2$  as the closed unit disc in  $\mathbb{C}$ , then there's some  $\epsilon \in (0, 1/2)$  small enough so that  $f^{-1}(U)$  contains the “open collar”

$$C_{2\epsilon} := \{z \in \mathbb{B}^2 \mid \text{dist}(z, \partial \mathbb{B}^2) < 2\epsilon\}.$$

Analogously defining the open collar  $C_\epsilon \subset C_{2\epsilon}$ , then  $\mathbb{B}^2 \setminus C_\epsilon$  is itself homeomorphic to  $\mathbb{B}^2$ . So by (a) there's an arbitrarily small vector  $v \in \mathbb{R}^n$  such that  $v + f(\mathbb{B}^2 \setminus C_\epsilon)$  is disjoint from  $M$ ; since  $U$  is open and  $f(C_{2\epsilon}) \subset U$ , we may choose  $v$  small enough so that  $v + f(C_{2\epsilon}) \subset U \subset \mathbb{R}^n \setminus M$ .

Finally consider the homotopy  $\{h_t : \mathbb{B}^2 \rightarrow \mathbb{R}^n \setminus M\}_{0 \leq t \leq 1}$  given by

$$h_t(z) := \begin{cases} t\epsilon^{-1} \text{dist}(z, \partial \mathbb{B}^2)v + f(z) & z \in C_\epsilon, \\ tv + f(z) & z \in \mathbb{B}^2 \setminus C_\epsilon. \end{cases}$$

We see that  $\{h_t\}_{0 \leq t \leq 1}$  is a homotopy between  $h_0 = f$  and the map  $h_1$  which pushes the “inner disc”  $f(\mathbb{B}^2 \setminus C_\epsilon)$  by  $v$ , fixes the boundary  $f(\mathbb{S}^1)$ , and continuously connects these images by a collar which lies entirely in  $U \subset \mathbb{R}^n \setminus M$ .

But  $h_1(\mathbb{B}^2)$  is the image of a (contractible) disc, mapped into  $\mathbb{R}^n \setminus M$ . Hence there exists a further homotopy  $\{k_t : \mathbb{B}^2 \rightarrow \mathbb{R}^n \setminus M\}_{0 \leq t \leq 1}$  which contracts this image to a point  $c \in h_1(\mathbb{B}^2)$ , i.e.  $k_0 = h_1$  and  $k_1 = c$ , where  $c : \mathbb{B}^2 \rightarrow \mathbb{R}^n$  is the constant map to  $c$ . The composition of these two homotopies, restricted to the boundary  $\partial \mathbb{B}^2$ , is a nullhomotopy from  $g$  to  $c$ .  $\square$

### Problem 5.

Recall that  $\mathbb{S}^{n-1}$  is a deformation retract of  $\mathbb{R}^n \setminus 0$  via the normalization map  $u : \mathbb{R}^{n+1} \setminus 0 \rightarrow \mathbb{S}^n$  given by  $u(x) := x/\|x\|$ . Hence we have an isomorphism  $u^* : \Omega^n(\mathbb{S}^n) \rightarrow \Omega^n(\mathbb{R}^{n+1} \setminus 0)$ , so

$$\int_{\mathbb{S}^n} f^* \omega = \int_{\mathbb{S}^n} f^* u^* (u^*)^{-1} \omega = \int_{\mathbb{S}^n} (u \circ f)^* ((u^*)^{-1} \omega) = \deg(u \circ f) \int_{\mathbb{S}^n} (u^*)^{-1} \omega$$

and similarly for  $g$ . Therefore as long as the denominator on the left is nonzero,

$$\frac{\int_{\mathbb{S}^n} f^* \omega}{\int_{\mathbb{S}^n} g^* \omega} = \frac{\deg(u \circ f) \int_{\mathbb{S}^n} (u^*)^{-1} \omega}{\deg(u \circ g) \int_{\mathbb{S}^n} (u^*)^{-1} \omega} = \frac{\deg(u \circ f)}{\deg(u \circ g)} \in \mathbb{Q}$$

since  $\deg(u \circ f), \deg(u \circ g) \in \mathbb{Z}$ .  $\square$

**Problem 6.**

Observing that the solid genus-2 surface  $W$  is equivalent to  $\bigvee^2 S^1$ ,

$$H_j(W) \cong \begin{cases} \mathbb{Z} & j = 0, \\ \mathbb{Z}^{\oplus 4} & j = 1, \\ \mathbb{Z} & j = 2, \\ 0 & \text{else,} \end{cases} \quad H_j(S) \cong \begin{cases} \mathbb{Z} & j = 0, \\ \mathbb{Z}^{\oplus 2} & j = 1, \\ 0 & \text{else.} \end{cases}$$

By the long exact sequence  $\cdots \rightarrow H_j(S) \rightarrow H_j(W) \rightarrow H_j(W, S) \rightarrow H_{j-1}(S) \rightarrow \cdots$  for relative homology, we have

$$0 \rightarrow H_3(W, S) \rightarrow \mathbb{Z} \rightarrow 0 \rightarrow H_2(W, S) \xrightarrow{\delta_2} \mathbb{Z}^{\oplus 4} \xrightarrow{\iota_1} \mathbb{Z}^{\oplus 2} \xrightarrow{\kappa_1} H_1(W, S) \xrightarrow{\delta_1} \mathbb{Z} \xrightarrow{\iota_0} \mathbb{Z} \xrightarrow{\kappa_0} H_0(W, S) \rightarrow 0$$

and we calculate the relative homologies as follows.

- Immediately,  $H_3(W, S) \cong \mathbb{Z}$ .
- $H_1(S)$  is generated by two lateral loops  $[x_1], [x_2]$  and two meridional loops  $[y_1], [y_2]$ . The natural inclusion  $\iota : S \hookrightarrow W$  maps  $x_1, x_2$  to themselves, so that  $\iota_1([x_1]), \iota_1([x_2])$  generate  $H_1(W) \cong \mathbb{Z}^{\oplus 2}$ , but includes  $y_1, y_2$  into contractible meridional discs of  $W$ , whereby we have  $\iota_1([y_1]) = \iota_1([y_2]) = 0$ . Thus  $\text{im}(\delta_2) \cong \ker(\iota_1) \cong \mathbb{Z}^{\oplus 2}$ , and also  $\ker(\delta_2) \cong 0$ , so it follows that  $H_2(W, S) \cong \mathbb{Z}^{\oplus 2}$ .
- By the above,  $\ker(\kappa_1) \cong \text{im}(\iota_1) \cong \mathbb{Z}^{\oplus 2}$ , and so  $\ker(\delta_1) \cong \text{im}(\kappa_1) \cong 0$ . Also  $\iota_0$  is injective since it's induced by the inclusion  $\iota : W \hookrightarrow S$  of path connected spaces, so  $\text{im}(\delta_1) \cong \ker(\iota_0) \cong 0$ . Thus  $H_1(W, S) \cong 0$ .
- We now have  $\ker(\kappa_0) \cong \text{im}(\iota_0) \cong \mathbb{Z}$  since  $\ker(\iota_0) \cong 0$ . Then  $\text{im}(\kappa_0) \cong 0$ , and since  $\kappa_0$  is surjective, then  $H_0(W, S) \cong 0$ .

$$\text{Hence } H_j(W, S) \cong \begin{cases} 0 & j = 0, 1, \\ \mathbb{Z}^{\oplus 2} & j = 2, \\ \mathbb{Z} & j = 3, \\ 0 & \text{else.} \end{cases} \quad \square$$

**Problem 7 (?)**

*Remark.* I think this problem is way too hard for a qualifying exam. Maybe there's an easier approach which didn't occur to me.

Let  $n := \dim_{\mathbb{R}}(N)$ . We begin with the following construction at some fixed point  $x \in M$ . Let  $V \subset N$  be an open subset containing  $M$ .

- Since  $M \subset N$  is a codimension-1 submanifold, we can find a connected chart  $(W, \phi)$  centered at  $x$  in the maximal atlas of  $N$  such that  $\phi(W \cap M) = \phi(W) \cap (\mathbb{R}^{n-1} \times 0)$ . W.l.o.g.,  $W$  was chosen small enough so that  $W \subset V$ . We have an induced homeomorphism

$$\phi_* : \bigwedge^{n-1} T^*(W \cap M) \rightarrow \bigwedge^{n-1} T^*\phi(W \cap M).$$

Now,  $\bigwedge^{n-1} \mathbf{T}^* \phi(W \cap M)$  is a (locally trivial) rank-1 vector bundle and  $\phi$  is a homeomorphism, so we may assume w.l.o.g. that  $W$  was also chosen small enough so that we have a trivialization

$$f : \bigwedge^{n-1} \mathbf{T}^* \phi(W \cap M) \rightarrow \phi(W \cap M) \times \mathbb{R}.$$

- By our choice of  $\phi$ , we have a natural inclusion  $\iota : \phi|_{W \cap M}(W \cap M) \hookrightarrow \phi(W) \cap (\mathbb{R}^{n-1} \times 0)$  of codimension 1. And also since  $\phi(W)$  is open, we can find a sufficiently small connected neighborhood  $\tilde{S} \subset \phi(W \cap M) \times \mathbb{R}$  of  $\phi(W \cap M) \times 0$  such that we have an inclusion

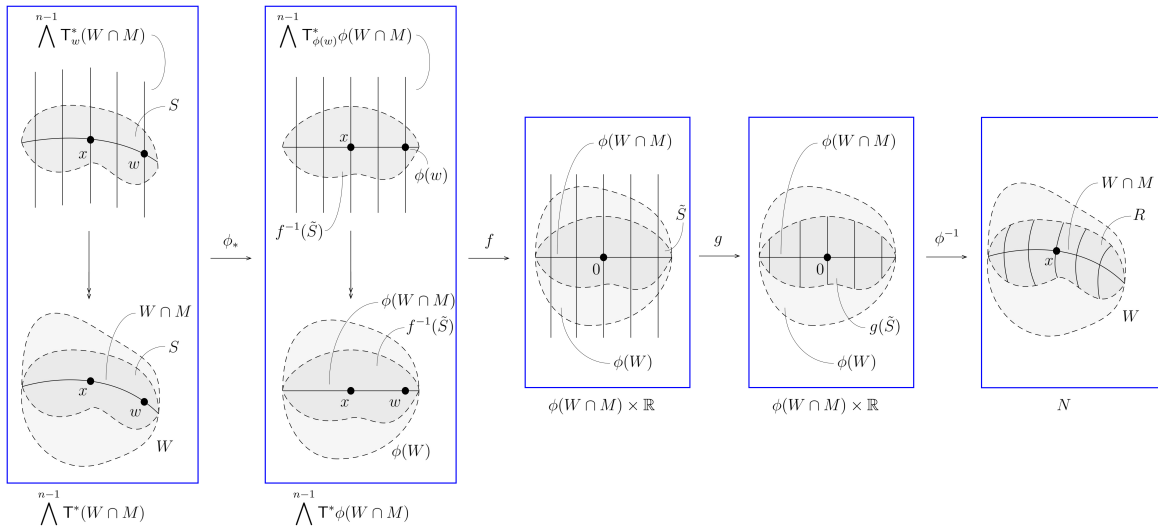
$$g := (\iota \times \text{id}_{\mathbb{R}})|_{\tilde{S}} : \tilde{S} \hookrightarrow \phi(W),$$

which further restricts to a homeomorphism  $g : \tilde{S} \rightarrow g(\tilde{S})$ , denoted again by  $g$ .

- Hence the composite  $g \circ f \circ \phi_* : \bigwedge^{n-1} \mathbf{T}^*(W \cap M) \rightarrow \phi(W)$  restricts to homeomorphisms  $h$  and  $h_0$  as in the commutative diagram below. Let  $S$  be the preimage  $\phi_*^{-1} \circ f^{-1}(\tilde{S})$ , let  $R$  be the preimage  $\phi^{-1} \circ g(\tilde{S})$ , and let  $0_{W \cap M} : W \cap M \rightarrow \bigwedge^{n-1} \mathbf{T}^*(W \cap M)$  be the zero section.

$$\begin{array}{ccccc}
 \bigwedge^{n-1} \mathbf{T}^*(W \cap M) & \xrightarrow{g \circ f \circ \phi_*} & \phi(W) & \xrightarrow{\phi^{-1}} & W \\
 \uparrow & & \uparrow & & \uparrow \\
 S & \xrightarrow{h} & g(\tilde{S}) & \xrightarrow{\phi^{-1}|_{g(\tilde{S})}} & R \\
 \uparrow & & \uparrow & & \uparrow \\
 S \setminus \text{im}(0_{W \cap M}) & \xrightarrow{h_0} & g(\tilde{S}) \cap (\mathbb{R}^{n-1} \times 0) & \xrightarrow{\phi^{-1}|_{g(\tilde{S}) \times (\mathbb{R}^{n-1} \times 0)}} & R \setminus M
 \end{array}$$

We now repeat this construction at each point  $x \in M$ , writing  $x$  as a subscript for each of the maps and spaces above to keep track of the base points. Denote also by  $\phi_x$  the restriction  $\phi|_{R_x}$ , for each  $x \in M$ .



We thus obtain a collection of charts  $\{(R_x, \phi_x)\}_{x \in M}$  for  $M$ . Clearly  $\{R_x\}_{x \in M}$  is an open cover for  $M$ , so we may choose a finite subcover  $\{R_j\}_{j=1}^m$  by compactness of  $M$ , and consider the corresponding collection of charts  $\{(R_j, \phi_j)\}_{j=1}^m$ . Now  $R_1, \dots, R_m$  are open and connected, and  $M$  is also connected, so the union  $U := \bigcup_{j=1}^m R_j \supset M$  is itself open and connected.

- Assume that  $M$  is orientable. Then  $T^*M \rightarrow M$  is orientable as a vector bundle, which means that the space  $\left(\bigwedge^{n-1} T^*M\right) \setminus \text{im}(0_M)$  has exactly two connected components. Then

$$S_j \setminus \text{im}(0_{W_j \cap M}) \subset \left(\bigwedge^{n-1} T^*(W_j \cap M)\right) \setminus \text{im}(0_{W_j \cap M})$$

also has more than one connected component, for each  $1 \leq j \leq m$ . Hence via the composite homeomorphism  $S_j \setminus \text{im}(0_{W_j \cap M}) \rightarrow R_j \setminus M$  for each  $1 \leq j \leq m$ , the space

$$U \setminus M = \left(\bigcup_{j=1}^m R_j\right) \setminus M = \bigcup_{j=1}^m (R_j \setminus M)$$

is also disconnected.

- Conversely, assume that for every open subset  $V' \subset N$  containing  $M$ , there's a connected open subset  $U' \subset V'$  such that  $U' \setminus M$  is disconnected. We take  $V$  to be the open subset  $U$  constructed above, and let  $U' \subset U$  be a connected open subset such that  $U' \setminus M$  is disconnected. Then upon patching together the disconnected images of the homeomorphisms  $R_j \setminus M \rightarrow S_j \setminus \text{im}(0_{W_j \cap M})$  for each  $1 \leq j \leq m$ , we see that  $\left(\bigwedge^{n-1} T^*M\right) \setminus \text{im}(0_M)$  is disconnected. Choosing a connected component of this space specifies an orientation on  $M$ .

□