

# Connectedness in the homotopy theory of algebraic varieties

Aravind Asok (USC)

March 31, 2011

# Outline

## 1 Conventions, definitions and basic examples

# Outline

- 1 Conventions, definitions and basic examples
- 2 Invariants and classification in topology

# Outline

- 1 Conventions, definitions and basic examples
- 2 Invariants and classification in topology
- 3 The  $\mathbb{A}^1$ -homotopy category

# Outline

- 1 Conventions, definitions and basic examples
- 2 Invariants and classification in topology
- 3 The  $\mathbb{A}^1$ -homotopy category
- 4 Geometric aspects of  $\mathbb{A}^1$ -homotopy theory

# Definitions

- An  $n$ -dimensional manifold  $M$

# Definitions

- An  $n$ -dimensional manifold  $M$ 
  - is constructed by *gluing*

# Definitions

- An  $n$ -dimensional manifold  $M$ 
  - is constructed by *gluing*
  - *locally* looks an open subset of  $\mathbb{R}^n$



# Definitions

- An  $n$ -dimensional manifold  $M$ 
  - is constructed by *gluing*
  - *locally* looks an open subset of  $\mathbb{R}^n$
  - *global* conditions, i.e., Hausdorff (separation), and paracompactness (finiteness)

# Definitions

- An  $n$ -dimensional manifold  $M$ 
  - is constructed by *gluing*
  - *locally* looks an open subset of  $\mathbb{R}^n$
  - *global* conditions, i.e., Hausdorff (separation), and paracompactness (finiteness)
  - considered up to appropriate notion of isomorphism (diffeomorphism, homeomorphism)

## Definitions

- An  $n$ -dimensional manifold  $M$ 
  - is constructed by *gluing*
  - *locally* looks an open subset of  $\mathbb{R}^n$
  - *global* conditions, i.e., Hausdorff (separation), and paracompactness (finiteness)
  - considered up to appropriate notion of isomorphism (diffeomorphism, homeomorphism)
- The term *open manifold* means non-compact manifold without boundary.

## Definitions

- An  $n$ -dimensional manifold  $M$ 
  - is constructed by *gluing*
  - *locally* looks an open subset of  $\mathbb{R}^n$
  - *global* conditions, i.e., Hausdorff (separation), and paracompactness (finiteness)
  - considered up to appropriate notion of isomorphism (diffeomorphism, homeomorphism)
- The term *open manifold* means non-compact manifold without boundary.
- The term *closed manifold* means compact manifold without boundary.

## Definitions

- An  $n$ -dimensional manifold  $M$ 
  - is constructed by *gluing*
  - *locally* looks an open subset of  $\mathbb{R}^n$
  - *global* conditions, i.e., Hausdorff (separation), and paracompactness (finiteness)
  - considered up to appropriate notion of isomorphism (diffeomorphism, homeomorphism)
- The term *open manifold* means non-compact manifold without boundary.
- The term *closed manifold* means compact manifold without boundary.
- We'll write  $I$  for the unit interval  $[0, 1]$ .

## More definitions

- An *algebraic variety*  $X$  over a field  $k$

## More definitions

- An *algebraic variety*  $X$  over a field  $k$ 
  - is constructed by *gluing*

## More definitions

- An *algebraic variety*  $X$  over a field  $k$ 
  - is constructed by *gluing*
  - *locally* simultaneous vanishing locus of finitely many polynomials with coefficients in  $k$ .



## More definitions

- An *algebraic variety*  $X$  over a field  $k$ 
  - is constructed by *gluing*
  - *locally* simultaneous vanishing locus of finitely many polynomials with coefficients in  $k$ .
  - *global* conditions (separation and finiteness).

## More definitions

- An *algebraic variety*  $X$  over a field  $k$ 
  - is constructed by *gluing*
  - *locally* simultaneous vanishing locus of finitely many polynomials with coefficients in  $k$ .
  - *global* conditions (separation and finiteness).
  - Zariski topology

## More definitions

- An *algebraic variety*  $X$  over a field  $k$ 
  - is constructed by *gluing*
  - *locally* simultaneous vanishing locus of finitely many polynomials with coefficients in  $k$ .
  - *global* conditions (separation and finiteness).
  - Zariski topology
  - considered up to isomorphism (locally polynomial with polynomial inverse)

## More definitions

- An *algebraic variety*  $X$  over a field  $k$ 
  - is constructed by *gluing*
  - *locally* simultaneous vanishing locus of finitely many polynomials with coefficients in  $k$ .
  - *global* conditions (separation and finiteness).
  - Zariski topology
  - considered up to isomorphism (locally polynomial with polynomial inverse)
- If  $K/k$  is an extension, we'll write  $X(K)$  for the set of  $K$ -valued solutions to the (local) equations defining  $X$ .

# Manifolds vs. varieties

- $\mathbb{A}^n$  - affine space over  $k$ ;

## Manifolds vs. varieties

- $\mathbb{A}^n$  - affine space over  $k$ ;  $\mathbb{P}^n$  - projective space over  $k$

## Manifolds vs. varieties

- $\mathbb{A}^n$  - affine space over  $k$ ;  $\mathbb{P}^n$  - projective space over  $k$
- Depends on the field in question, e.g., consider  $x^2 + y^2 = -1$  and  $x^2 + y^2 = 1$  over  $\mathbb{R}$ , and over  $\mathbb{C}$ .

## Manifolds vs. varieties

- $\mathbb{A}^n$  - affine space over  $k$ ;  $\mathbb{P}^n$  - projective space over  $k$
- Depends on the field in question, e.g., consider  $x^2 + y^2 = -1$  and  $x^2 + y^2 = 1$  over  $\mathbb{R}$ , and over  $\mathbb{C}$ .
- We consider solutions over field extensions that aren't necessarily finite, e.g.,  $\mathbb{R}(t)$  (think of a parameterized family of solutions).



## Manifolds vs. varieties

- $\mathbb{A}^n$  - affine space over  $k$ ;  $\mathbb{P}^n$  - projective space over  $k$
- Depends on the field in question, e.g., consider  $x^2 + y^2 = -1$  and  $x^2 + y^2 = 1$  over  $\mathbb{R}$ , and over  $\mathbb{C}$ .
- We consider solutions over field extensions that aren't necessarily finite, e.g.,  $\mathbb{R}(t)$  (think of a parameterized family of solutions).
- If  $k = \mathbb{R}$  or  $\mathbb{C}$ , the set of real or complex solutions is a manifold.

## Manifolds vs. varieties

- $\mathbb{A}^n$  - affine space over  $k$ ;  $\mathbb{P}^n$  - projective space over  $k$
- Depends on the field in question, e.g., consider  $x^2 + y^2 = -1$  and  $x^2 + y^2 = 1$  over  $\mathbb{R}$ , and over  $\mathbb{C}$ .
- We consider solutions over field extensions that aren't necessarily finite, e.g.,  $\mathbb{R}(t)$  (think of a parameterized family of solutions).
- If  $k = \mathbb{R}$  or  $\mathbb{C}$ , the set of real or complex solutions is a manifold.
- If  $k = \mathbb{Q}$ , or a finite field, then the set of solutions looks like a discrete set of points.

# Invariants

- A *homotopy* between two continuous maps  $f, g : M \rightarrow M'$  is a continuous map  $H : M \times I \rightarrow M'$  such that  $H(x, 0) = f$  and  $H(x, 1) = g$ .  
(Think: continuous deformation)

# Invariants

- A *homotopy* between two continuous maps  $f, g : M \longrightarrow M'$  is a continuous map  $H : M \times I \longrightarrow M'$  such that  $H(x, 0) = f$  and  $H(x, 1) = g$ .  
(Think: continuous deformation)
- A cts. map  $f : M \longrightarrow M'$  is a *homotopy equivalence* if there exists cts.  $g : M' \longrightarrow M$  s.t.  $g \circ f \sim \text{Id}_M$  and  $f \circ g \sim \text{Id}_{M'}$ .

# Invariants

- A *homotopy* between two continuous maps  $f, g : M \longrightarrow M'$  is a continuous map  $H : M \times I \longrightarrow M'$  such that  $H(x, 0) = f$  and  $H(x, 1) = g$ .  
(Think: continuous deformation)
- A cts. map  $f : M \longrightarrow M'$  is a *homotopy equivalence* if there exists cts.  $g : M' \longrightarrow M$  s.t.  $g \circ f \sim Id_M$  and  $f \circ g \sim Id_{M'}$ .
- The *homotopy category* is the category whose objects are (nice) topological spaces and whose morphisms are homotopy classes of continuous maps.

## Basic homotopy invariants

- A functor from the category of manifolds to some category of algebraic data (groups, rings, etc.)

## Basic homotopy invariants

- A functor from the category of manifolds to some category of algebraic data (groups, rings, etc.)
- Set of path connected components  $\pi_0(M)$ : maps  $*$   $\rightarrow$   $M$  up to homotopy equivalence.

## Basic homotopy invariants

- A functor from the category of manifolds to some category of algebraic data (groups, rings, etc.)
- Set of path connected components  $\pi_0(M)$ : maps  $*$   $\rightarrow$   $M$  up to homotopy equivalence.
- Homotopy groups  $\pi_i(M, *)$  ( $i \geq 1$ ) are cts. maps  $S^n \rightarrow M$  (preserving a chosen base-point) up to homotopy. (Easy to define, hard to compute)



## Basic homotopy invariants

- A functor from the category of manifolds to some category of algebraic data (groups, rings, etc.)
- Set of path connected components  $\pi_0(M)$ : maps  $*$   $\rightarrow$   $M$  up to homotopy equivalence.
- Homotopy groups  $\pi_i(M, *)$  ( $i \geq 1$ ) are cts. maps  $S^n \rightarrow M$  (preserving a chosen base-point) up to homotopy. (Easy to define, hard to compute)
- Homology groups  $H_i(M, \mathbb{Z})$ , start w/ free abelian group on the cts. maps  $\Delta^n \rightarrow M$ , define boundary operator, take cycles modulo boundaries.. (Harder to define, easier to compute)

# Classification Part I

- Basic problem: classify manifolds up to homeomorphism, diffeomorphism or homotopy equivalence

# Classification Part I

- Basic problem: classify manifolds up to homeomorphism, diffeomorphism or homotopy equivalence
- In (very) low dimensions classification is possible using only invariants (in fact  $\pi_1$  is enough):

# Classification Part I

- Basic problem: classify manifolds up to homeomorphism, diffeomorphism or homotopy equivalence
- In (very) low dimensions classification is possible using only invariants (in fact  $\pi_1$  is enough):
  - Dimension 1. Only connected closed manifold is  $S^1$ .

# Classification Part I

- Basic problem: classify manifolds up to homeomorphism, diffeomorphism or homotopy equivalence
- In (very) low dimensions classification is possible using only invariants (in fact  $\pi_1$  is enough):
  - Dimension 1. Only connected closed manifold is  $S^1$ .
  - Dimension 2. Connected closed manifolds are either  $S^2$ , connected sums of  $S^1 \times S^1$ , connected sums of  $\mathbb{R}P^2$ .

## Classification Part II

- Dimension 3. Diffeomorphism classification is in principle possible but differs from homotopy classification

## Classification Part II

- Dimension 3. Diffeomorphism classification is in principle possible but differs from homotopy classification
  - lens spaces provide homotopy equivalent non-diffeomorphic manifolds.

## Classification Part II

- Dimension 3. Diffeomorphism classification is in principle possible but differs from homotopy classification
  - lens spaces provide homotopy equivalent non-diffeomorphic manifolds.
- Dimensions  $\geq 4$ . Homotopy classification is not possible: there are “too many” invariants



## Classification Part II

- Dimension 3. Diffeomorphism classification is in principle possible but differs from homotopy classification
  - lens spaces provide homotopy equivalent non-diffeomorphic manifolds.
- Dimensions  $\geq 4$ . Homotopy classification is not possible: there are “too many” invariants
  - Any finitely presented group can appear as the fundamental group of a manifold of dimension  $\geq 4$ .

## Classification Part II

- Dimension 3. Diffeomorphism classification is in principle possible but differs from homotopy classification
  - lens spaces provide homotopy equivalent non-diffeomorphic manifolds.
- Dimensions  $\geq 4$ . Homotopy classification is not possible: there are “too many” invariants
  - Any finitely presented group can appear as the fundamental group of a manifold of dimension  $\geq 4$ .
- Dimensions  $\geq 5$ . Better problem: classify all manifolds having a fixed homotopy type.

# Invariants in algebraic geometry

First idea: work over  $\mathbb{R}$  or  $\mathbb{C}$  and use topological invariants of the associated manifold.

# Invariants in algebraic geometry

First idea: work over  $\mathbb{R}$  or  $\mathbb{C}$  and use topological invariants of the associated manifold.

- Problem: (Over  $\mathbb{C}$ ) There can be many different algebraic varieties with the same underlying topological space (e.g., all smooth cubic curves in  $\mathbb{P}^2$  are topologically tori)

# Invariants in algebraic geometry

First idea: work over  $\mathbb{R}$  or  $\mathbb{C}$  and use topological invariants of the associated manifold.

- Problem: (Over  $\mathbb{C}$ ) There can be many different algebraic varieties with the same underlying topological space (e.g., all smooth cubic curves in  $\mathbb{P}^2$  are topologically tori)
- Problem: The invariants, e.g., homology or fundamental group, of an algebraic variety are restricted and we do not know which ones arise...

# Invariants in algebraic geometry

First idea: work over  $\mathbb{R}$  or  $\mathbb{C}$  and use topological invariants of the associated manifold.

- Problem: (Over  $\mathbb{C}$ ) There can be many different algebraic varieties with the same underlying topological space (e.g., all smooth cubic curves in  $\mathbb{P}^2$  are topologically tori)
- Problem: The invariants, e.g., homology or fundamental group, of an algebraic variety are restricted and we do not know which ones arise...
- Problem: (Over  $\mathbb{R}$ ) Might be the empty manifold, e.g.,  $x^2 + y^2 = -1$ .

# Invariants in algebraic geometry

First idea: work over  $\mathbb{R}$  or  $\mathbb{C}$  and use topological invariants of the associated manifold.

- Problem: (Over  $\mathbb{C}$ ) There can be many different algebraic varieties with the same underlying topological space (e.g., all smooth cubic curves in  $\mathbb{P}^2$  are topologically tori)
- Problem: The invariants, e.g., homology or fundamental group, of an algebraic variety are restricted and we do not know which ones arise...
- Problem: (Over  $\mathbb{R}$ ) Might be the empty manifold, e.g.,  $x^2 + y^2 = -1$ . More generally, we lose arithmetic information about solutions over the ground field.

## Invariants in algebraic geometry

First idea: work over  $\mathbb{R}$  or  $\mathbb{C}$  and use topological invariants of the associated manifold.

- Problem: (Over  $\mathbb{C}$ ) There can be many different algebraic varieties with the same underlying topological space (e.g., all smooth cubic curves in  $\mathbb{P}^2$  are topologically tori)
- Problem: The invariants, e.g., homology or fundamental group, of an algebraic variety are restricted and we do not know which ones arise...
- Problem: (Over  $\mathbb{R}$ ) Might be the empty manifold, e.g.,  $x^2 + y^2 = -1$ . More generally, we lose arithmetic information about solutions over the ground field.
- Problem: Even if the field  $k$  can be embedded into  $\mathbb{C}$ , the topological invariants can depend on the choice of embedding  $k \hookrightarrow \mathbb{C}$  (Serre).



## Properties of good invariants

- Second idea: Try to imitate topological constructions in algebraic geometry.

## Properties of good invariants

- Second idea: Try to imitate topological constructions in algebraic geometry.
- In topology, essentially all invariants one needs for the classification problem are homotopy invariants, and two properties are distinguished: “gluing” and “homotopy invariance.”

## Properties of good invariants

- Second idea: Try to imitate topological constructions in algebraic geometry.
- In topology, essentially all invariants one needs for the classification problem are homotopy invariants, and two properties are distinguished: “gluing” and “homotopy invariance.”
  - *Gluing* means invariants can be computed locally and then glued together (e.g., Mayer-Vietoris sequence for homology or van Kampen theorem for fundamental group).

## Properties of good invariants

- Second idea: Try to imitate topological constructions in algebraic geometry.
- In topology, essentially all invariants one needs for the classification problem are homotopy invariants, and two properties are distinguished: “gluing” and “homotopy invariance.”
  - *Gluing* means invariants can be computed locally and then glued together (e.g., Mayer-Vietoris sequence for homology or van Kampen theorem for fundamental group).
  - *Homotopy invariance* means invariant takes the same value on  $M$  and  $M \times I$ .

# $\mathbb{A}^1$ -homotopy invariants

- An invariant is a functor from the category of algebraic varieties to some category of algebraic data (groups, rings, etc.)

# $\mathbb{A}^1$ -homotopy invariants

- An invariant is a functor from the category of algebraic varieties to some category of algebraic data (groups, rings, etc.)
- While  $I$  is not an algebraic variety, there is an algebro-geometric notion of homotopy invariance for an invariant  $\mathcal{F}$  i.e., the map  $\mathcal{F}(X)$  agrees with  $\mathcal{F}(X \times \mathbb{A}^1)$ .

# $\mathbb{A}^1$ -homotopy invariants

- An invariant is a functor from the category of algebraic varieties to some category of algebraic data (groups, rings, etc.)
- While  $I$  is not an algebraic variety, there is an algebro-geometric notion of homotopy invariance for an invariant  $\mathcal{F}$  i.e., the map  $\mathcal{F}(X)$  agrees with  $\mathcal{F}(X \times \mathbb{A}^1)$ .
- Gluing makes sense if we use Zariski open sets.

# $\mathbb{A}^1$ -homotopy invariants

- An invariant is a functor from the category of algebraic varieties to some category of algebraic data (groups, rings, etc.)
- While  $I$  is not an algebraic variety, there is an algebro-geometric notion of homotopy invariance for an invariant  $\mathcal{F}$  i.e., the map  $\mathcal{F}(X)$  agrees with  $\mathcal{F}(X \times \mathbb{A}^1)$ .
- Gluing makes sense if we use Zariski open sets.
- Even better than trying to define invariants, why not try to define a good “homotopy category”?



# $\mathbb{A}^1$ -homotopy theory

- Start with  $\mathcal{S}m_k$  (smooth algebraic varieties).

# $\mathbb{A}^1$ -homotopy theory

- Start with  $Sm_k$  (smooth algebraic varieties).
- Enlarge to a category  $Spc_k$  of spaces where one can form quotients of varieties, or increasing unions of such things (+ some other categorical properties)

# $\mathbb{A}^1$ -homotopy theory

- Start with  $Sm_k$  (smooth algebraic varieties).
- Enlarge to a category  $Spc_k$  of spaces where one can form quotients of varieties, or increasing unions of such things (+ some other categorical properties)
- Force Mayer-Vietoris “gluing” and  $\mathbb{A}^1$ -homotopy invariance by localizing the category of spaces by formally inverting an appropriate class of morphisms. Very roughly speaking: invert homotopies parameterized by the affine line.

# $\mathbb{A}^1$ -homotopy theory

- Start with  $Sm_k$  (smooth algebraic varieties).
- Enlarge to a category  $Spc_k$  of spaces where one can form quotients of varieties, or increasing unions of such things (+ some other categorical properties)
- Force Mayer-Vietoris “gluing” and  $\mathbb{A}^1$ -homotopy invariance by localizing the category of spaces by formally inverting an appropriate class of morphisms. Very roughly speaking: invert homotopies parameterized by the affine line.
- The resulting  $\mathbb{A}^1$ -homotopy category was first constructed by F. Morel and V. Voevodsky.

# $\mathbb{A}^1$ -homotopy theory

- Start with  $Sm_k$  (smooth algebraic varieties).
- Enlarge to a category  $Spc_k$  of spaces where one can form quotients of varieties, or increasing unions of such things (+ some other categorical properties)
- Force Mayer-Vietoris “gluing” and  $\mathbb{A}^1$ -homotopy invariance by localizing the category of spaces by formally inverting an appropriate class of morphisms. Very roughly speaking: invert homotopies parameterized by the affine line.
- The resulting  $\mathbb{A}^1$ -homotopy category was first constructed by F. Morel and V. Voevodsky.
- Show this gives a good theory (e.g., recovers old invariants, proves old conjectures).

# Applications

- Most famously, it was a tool in Voevodsky's proof of the Milnor conjecture (which implies a classical conjecture due to Milnor regarding classification of quadratic forms over a field)

# Applications

- Most famously, it was a tool in Voevodsky's proof of the Milnor conjecture (which implies a classical conjecture due to Milnor regarding classification of quadratic forms over a field)
- More recently, used by Morel to make progress toward a longstanding question in the cohomology of certain discrete groups (Friedlander's generalized isomorphism conjecture)

# Applications

- Most famously, it was a tool in Voevodsky's proof of the Milnor conjecture (which implies a classical conjecture due to Milnor regarding classification of quadratic forms over a field)
- More recently, used by Morel to make progress toward a longstanding question in the cohomology of certain discrete groups (Friedlander's generalized isomorphism conjecture)
- Algebraic K-theory appears naturally in this category, in the same fashion that topological K-theory appears in topology.



# Applications

- Most famously, it was a tool in Voevodsky's proof of the Milnor conjecture (which implies a classical conjecture due to Milnor regarding classification of quadratic forms over a field)
- More recently, used by Morel to make progress toward a longstanding question in the cohomology of certain discrete groups (Friedlander's generalized isomorphism conjecture)
- Algebraic K-theory appears naturally in this category, in the same fashion that topological K-theory appears in topology.
- Detects much arithmetic information, including information regarding rational points.

## New constructions

- Isomorphisms in the  $\mathbb{A}^1$ -homotopy category will be called  $\mathbb{A}^1$ -weak equivalences.

## New constructions

- Isomorphisms in the  $\mathbb{A}^1$ -homotopy category will be called  $\mathbb{A}^1$ -weak equivalences.
- Simplicial sphere  $\mathbb{A}^1/\{0, 1\}$  (think  $I/\partial I$ ).

## New constructions

- Isomorphisms in the  $\mathbb{A}^1$ -homotopy category will be called  $\mathbb{A}^1$ -weak equivalences.
- Simplicial sphere  $\mathbb{A}^1/\{0, 1\}$  (think  $I/\partial I$ ).
- Tate sphere:  $\mathbb{G}_m$ , e.g.,  $\mathbb{G}_m(\mathbb{C}) = \mathbb{C}^*$  (pointed by 1)

## New constructions

- Isomorphisms in the  $\mathbb{A}^1$ -homotopy category will be called  $\mathbb{A}^1$ -weak equivalences.
- Simplicial sphere  $\mathbb{A}^1/\{0, 1\}$  (think  $I/\partial I$ ).
- Tate sphere:  $\mathbb{G}_m$ , e.g.,  $\mathbb{G}_m(\mathbb{C}) = \mathbb{C}^*$  (pointed by 1)
- We can form wedge sums of pointed spaces (one point unions)

## New constructions

- Isomorphisms in the  $\mathbb{A}^1$ -homotopy category will be called  $\mathbb{A}^1$ -weak equivalences.
- Simplicial sphere  $\mathbb{A}^1/\{0, 1\}$  (think  $I/\partial I$ ).
- Tate sphere:  $\mathbb{G}_m$ , e.g.,  $\mathbb{G}_m(\mathbb{C}) = \mathbb{C}^*$  (pointed by 1)
- We can form wedge sums of pointed spaces (one point unions)
- We can form smash products of pointed spaces (take Cartesian product and collapse one point union).

## New constructions

- Isomorphisms in the  $\mathbb{A}^1$ -homotopy category will be called  $\mathbb{A}^1$ -weak equivalences.
- Simplicial sphere  $\mathbb{A}^1/\{0, 1\}$  (think  $I/\partial I$ ).
- Tate sphere:  $\mathbb{G}_m$ , e.g.,  $\mathbb{G}_m(\mathbb{C}) = \mathbb{C}^*$  (pointed by 1)
- We can form wedge sums of pointed spaces (one point unions)
- We can form smash products of pointed spaces (take Cartesian product and collapse one point union).
- We define  $S_s^i \wedge \mathbb{G}_m^{\wedge j}$ , and call this a motivic sphere.

## New invariants

- We define connected components:  $\pi_0^{\mathbb{A}^1}(X) = [\mathrm{Spec} k, X]_{\mathbb{A}^1}$   
(homotopy classes computed in new category)



## New invariants

- We define connected components:  $\pi_0^{\mathbb{A}^1}(X) = [\mathrm{Spec} k, X]_{\mathbb{A}^1}$   
(homotopy classes computed in new category)
- We define homotopy groups of a space:  
 $\pi_i^{\mathbb{A}^1}(X, x) = [S_s^i, (X, x)]_{\mathbb{A}^1}$ .

## New invariants

- We define connected components:  $\pi_0^{\mathbb{A}^1}(X) = [\mathrm{Spec} k, X]_{\mathbb{A}^1}$   
(homotopy classes computed in new category)
- We define homotopy groups of a space:  
 $\pi_i^{\mathbb{A}^1}(X, x) = [S_s^i, (X, x)]_{\mathbb{A}^1}$ .
- Can also define homology  $H_i^{\mathbb{A}^1}(X)$ , though definition is more involved (again, more techniques for computation than homotopy).

## New invariants

- We define connected components:  $\pi_0^{\mathbb{A}^1}(X) = [\mathrm{Spec} k, X]_{\mathbb{A}^1}$   
(homotopy classes computed in new category)
- We define homotopy groups of a space:  
 $\pi_i^{\mathbb{A}^1}(X, x) = [S_s^i, (X, x)]_{\mathbb{A}^1}$ .
- Can also define homology  $H_i^{\mathbb{A}^1}(X)$ , though definition is more involved (again, more techniques for computation than homotopy).
- We have many of the same computational tools

## Geometric flexibility

- Contractible manifolds provide one measure of the difference between homotopy theory and homeomorphism.

## Geometric flexibility

- Contractible manifolds provide one measure of the difference between homotopy theory and homeomorphism.

Theorem (Whitehead '34, Mazur '61, McMillan '62, Curtis-Kwun '65, Glaser '67)

*There exist uncountably many open contractible manifolds  $M^n$  of every dimension  $n \geq 3$ .*

## Geometric flexibility

- Contractible manifolds provide one measure of the difference between homotopy theory and homeomorphism.

Theorem (Whitehead '34, Mazur '61, McMillan '62, Curtis-Kwun '65, Glaser '67)

*There exist uncountably many open contractible manifolds  $M^n$  of every dimension  $n \geq 3$ .*

- The space  $\mathbb{A}^n$  is contractible in  $\mathbb{A}^1$ -homotopy theory, i.e.,  $\mathbb{A}^1$ -weakly equivalent to a point.

## Geometric flexibility

- Contractible manifolds provide one measure of the difference between homotopy theory and homeomorphism.

Theorem (Whitehead '34, Mazur '61, McMillan '62, Curtis-Kwun '65, Glaser '67)

*There exist uncountably many open contractible manifolds  $M^n$  of every dimension  $n \geq 3$ .*

- The space  $\mathbb{A}^n$  is contractible in  $\mathbb{A}^1$ -homotopy theory, i.e.,  $\mathbb{A}^1$ -weakly equivalent to a point.
- Are there other (non-isomorphic) spaces like this?

## $\mathbb{A}^1$ -contractible varieties

### Theorem (A., Doran '07)

*There exist arbitrary dimensional families of (smooth)  $\mathbb{A}^1$ -contractible smooth varieties (over any field) of dimension  $\geq 6$ . Infinitely many in each dimension  $\geq 4$ .*



## $\mathbb{A}^1$ -contractible varieties

### Theorem (A., Doran '07)

*There exist arbitrary dimensional families of (smooth)  $\mathbb{A}^1$ -contractible smooth varieties (over any field) of dimension  $\geq 6$ . Infinitely many in each dimension  $\geq 4$ .*

### Example

Take the variety  $Q_4$  defined by the equation  $x_1x_3 + x_2x_4 = x_5(x_5 + 1)$  and remove the locus of points where  $x_1 = x_2 = 0, x_5 = -1$ ; this is  $\mathbb{A}^1$ -contractible.

## $\mathbb{A}^1$ -contractible varieties

### Theorem (A., Doran '07)

*There exist arbitrary dimensional families of (smooth)  $\mathbb{A}^1$ -contractible smooth varieties (over any field) of dimension  $\geq 6$ . Infinitely many in each dimension  $\geq 4$ .*

### Example

Take the variety  $Q_4$  defined by the equation  $x_1x_3 + x_2x_4 = x_5(x_5 + 1)$  and remove the locus of points where  $x_1 = x_2 = 0, x_5 = -1$ ; this is  $\mathbb{A}^1$ -contractible.

### Idea of proof.

Take  $\mathbb{A}^n$ , equip it with a translation action of  $\mathbb{G}_a$  (additive group of the affine line) and construct a quotient. □

## $\mathbb{A}^1$ -connectedness

- $\mathbb{A}^1$ -connected components behave like path connected components in topology:

## $\mathbb{A}^1$ -connectedness

- $\mathbb{A}^1$ -connected components behave like path connected components in topology:

### Theorem (A., Morel, '09)

*If  $X$  is a smooth proper variety over a field  $k$ , then  $\pi_0^{\mathbb{A}^1}(X) = X(k) / \sim_{\mathbb{A}^1}$  where  $\sim_{\mathbb{A}^1}$  is the equivalence relation on  $k$ -points generated by connecting points by the images of a map from  $\mathbb{A}^1$ .*

## $\mathbb{A}^1$ -connectedness

- $\mathbb{A}^1$ -connected components behave like path connected components in topology:

### Theorem (A., Morel, '09)

*If  $X$  is a smooth proper variety over a field  $k$ , then  $\pi_0^{\mathbb{A}^1}(X) = X(k) / \sim_{\mathbb{A}^1}$  where  $\sim_{\mathbb{A}^1}$  is the equivalence relation on  $k$ -points generated by connecting points by the images of a map from  $\mathbb{A}^1$ .*

- This theorem provides a homotopical characterization of separably rationally connected varieties.

## Rational points can be detected by homological means

- There is a “degree” map  $H_0^{\mathbb{A}^1}(X, \mathbb{Q}) \rightarrow \mathbb{Q}$ .

## Rational points can be detected by homological means

- There is a “degree” map  $H_0^{\mathbb{A}^1}(X, \mathbb{Q}) \rightarrow \mathbb{Q}$ .

Theorem (A., Haesemeyer '11)

*A smooth proper variety  $X$  over a field  $k$  has a  $k$ -rational point if and only if the “degree” map is surjective.*

## Rational points can be detected by homological means

- There is a “degree” map  $H_0^{\mathbb{A}^1}(X, \mathbb{Q}) \rightarrow \mathbb{Q}$ .

Theorem (A., Haesemeyer '11)

*A smooth proper variety  $X$  over a field  $k$  has a  $k$ -rational point if and only if the “degree” map is surjective.*

- New obstructions to existence of rational points?



## Rational points can be detected by homological means

- There is a “degree” map  $H_0^{\mathbb{A}^1}(X, \mathbb{Q}) \rightarrow \mathbb{Q}$ .

### Theorem (A., Haesemeyer '11)

*A smooth proper variety  $X$  over a field  $k$  has a  $k$ -rational point if and only if the “degree” map is surjective.*

- New obstructions to existence of rational points?
- More generally, the zeroth homology controls a number of important invariants, e.g., the Brauer group.

# Classification in dimension 1

## Theorem

*The only  $\mathbb{A}^1$ -connected smooth proper algebraic curve (up to isomorphism) is  $\mathbb{P}^1$ .*

# Classification in dimension 1

## Theorem

*The only  $\mathbb{A}^1$ -connected smooth proper algebraic curve (up to isomorphism) is  $\mathbb{P}^1$ .*

## Theorem (Morel '06)

*The group  $\pi_1^{\mathbb{A}^1}(\mathbb{P}^1) = \pi_1^{\mathbb{A}^1}(\mathbb{S}_s^1 \wedge \mathbb{G}_m)$  is an explicitly computable non-abelian group (the free group on 1 Tate generator).*

# Classification in dimension 1

## Theorem

*The only  $\mathbb{A}^1$ -connected smooth proper algebraic curve (up to isomorphism) is  $\mathbb{P}^1$ .*

## Theorem (Morel '06)

*The group  $\pi_1^{\mathbb{A}^1}(\mathbb{P}^1) = \pi_1^{\mathbb{A}^1}(\mathbb{S}_s^1 \wedge \mathbb{G}_m)$  is an explicitly computable non-abelian group (the free group on 1 Tate generator).*

- The elements of  $\pi_1^{\mathbb{A}^1}(\mathbb{P}^1)$  admit an interpretation in terms of the theory of quadratic forms.

## Classification in dimension 1

### Theorem

*The only  $\mathbb{A}^1$ -connected smooth proper algebraic curve (up to isomorphism) is  $\mathbb{P}^1$ .*

### Theorem (Morel '06)

*The group  $\pi_1^{\mathbb{A}^1}(\mathbb{P}^1) = \pi_1^{\mathbb{A}^1}(\mathbb{S}_s^1 \wedge \mathbb{G}_m)$  is an explicitly computable non-abelian group (the free group on 1 Tate generator).*

- The elements of  $\pi_1^{\mathbb{A}^1}(\mathbb{P}^1)$  admit an interpretation in terms of the theory of quadratic forms.
- We do not have a “geometric” interpretation of elements of elements of the  $\mathbb{A}^1$ -fundamental group in general, but they are large.

## Classification in dimension 2

### Theorem (A., Morel '09)

*Suppose  $k$  is an algebraically closed field. Every  $\mathbb{A}^1$ -connected smooth proper algebraic surface is  $\mathbb{A}^1$ -weakly equivalent to either  $\mathbb{P}^1 \times \mathbb{P}^1$  or the blow-up of  $\mathbb{P}^2$  at a fixed (possibly empty) finite set of distinct points.*

## Classification in dimension 2

### Theorem (A., Morel '09)

*Suppose  $k$  is an algebraically closed field. Every  $\mathbb{A}^1$ -connected smooth proper algebraic surface is  $\mathbb{A}^1$ -weakly equivalent to either  $\mathbb{P}^1 \times \mathbb{P}^1$  or the blow-up of  $\mathbb{P}^2$  at a fixed (possibly empty) finite set of distinct points.*

- Isomorphism and  $\mathbb{A}^1$ -homotopy classifications do not coincide: while there are families of non-isomorphic  $\mathbb{A}^1$ -connected varieties of dimension 2, their set of  $\mathbb{A}^1$ -homotopy types is discretely parameterized

## Classification in dimension 2

### Theorem (A., Morel '09)

*Suppose  $k$  is an algebraically closed field. Every  $\mathbb{A}^1$ -connected smooth proper algebraic surface is  $\mathbb{A}^1$ -weakly equivalent to either  $\mathbb{P}^1 \times \mathbb{P}^1$  or the blow-up of  $\mathbb{P}^2$  at a fixed (possibly empty) finite set of distinct points.*

- Isomorphism and  $\mathbb{A}^1$ -homotopy classifications do not coincide: while there are families of non-isomorphic  $\mathbb{A}^1$ -connected varieties of dimension 2, their set of  $\mathbb{A}^1$ -homotopy types is discretely parameterized
- The  $\mathbb{A}^1$ -fundamental group distinguishes  $\mathbb{A}^1$ -homotopy types of  $\mathbb{A}^1$ -connected surfaces; in fact,  $X \setminus *$  is  $\mathbb{A}^1$ -weakly equivalent to a wedge of copies of  $\mathbb{P}^1$ .



## Classification in dimension $\geq 3$

### Theorem (A. '11)

*In every dimension  $d \geq 3$ , there exist  $\mathbb{A}^1$ -connected smooth proper varieties  $X$  and  $X'$  such that the all  $\mathbb{A}^1$ -homotopy groups of  $X$  and  $X'$  are abstractly isomorphic, yet which are not  $\mathbb{A}^1$ -weakly equivalent*

## Classification in dimension $\geq 3$

### Theorem (A. '11)

*In every dimension  $d \geq 3$ , there exist  $\mathbb{A}^1$ -connected smooth proper varieties  $X$  and  $X'$  such that the all  $\mathbb{A}^1$ -homotopy groups of  $X$  and  $X'$  are abstractly isomorphic, yet which are not  $\mathbb{A}^1$ -weakly equivalent*

- The  $\mathbb{A}^1$ -connected varieties of dimension  $\geq 3$  can fail to be “cellular”

## Classification in dimension $\geq 3$

### Theorem (A. '11)

*In every dimension  $d \geq 3$ , there exist  $\mathbb{A}^1$ -connected smooth proper varieties  $X$  and  $X'$  such that the all  $\mathbb{A}^1$ -homotopy groups of  $X$  and  $X'$  are abstractly isomorphic, yet which are not  $\mathbb{A}^1$ -weakly equivalent*

- The  $\mathbb{A}^1$ -connected varieties of dimension  $\geq 3$  can fail to be “cellular”
- We do not know whether  $\mathbb{A}^1$ -homotopy classification is impossible in higher dimensions (though we strongly suspect this is true).

## A surgical approach to classification

- In dimensions  $> 4$ , one attempts to identify the diffeomorphism classes of manifolds having a fixed homotopy type; this was first accomplished for spheres by Kervaire and Milnor and for certain highly connected manifolds by Wall.

## A surgical approach to classification

- In dimensions  $> 4$ , one attempts to identify the diffeomorphism classes of manifolds having a fixed homotopy type; this was first accomplished for spheres by Kervaire and Milnor and for certain highly connected manifolds by Wall.
- However, smooth proper  $\mathbb{A}^1$ -connected varieties always have non-trivial  $\mathbb{A}^1$ -fundamental group.

## A surgical approach to classification

- In dimensions  $> 4$ , one attempts to identify the diffeomorphism classes of manifolds having a fixed homotopy type; this was first accomplished for spheres by Kervaire and Milnor and for certain highly connected manifolds by Wall.
- However, smooth proper  $\mathbb{A}^1$ -connected varieties always have non-trivial  $\mathbb{A}^1$ -fundamental group.
- Many other invariants of surgery theory can be defined, but we do not know if they have reasonable geometric interpretations.

# Thank you!

See <http://www-bcf.usc.edu/~asok> for more information