Rational points up to stable \mathbb{A}^1 -homotopy joint with C. Häsemeyer and F. Morel

Aravind Asok (USC)

August 15, 2010

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 $\begin{array}{c} \mbox{Conventions}\\ \mbox{Zero cycles of degree 1}\\ \mbox{Grothendieck-Witt groups}\\ \mbox{Stable \mathbb{A}^1-homology}\\ \mbox{Milnor-Witt K-theory} \end{array}$





Aravind Asok (USC) Rational points up to stable \mathbb{A}^1 -homotopy

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- **2** Grothendieck-Witt groups
- **3** Stable \mathbb{A}^1 -homology

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Conventions

Zero cycles of degree 1 Grothendieck-Witt groups Stable A¹-homology Milnor-Witt K-theory



 Throughout the talk we consider algebraic varieties over a field k assumed to have characteristic 0.

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Conventions

Zero cycles of degree 1 Grothendieck-Witt groups Stable A¹-homology Milnor-Witt K-theory



- Throughout the talk we consider algebraic varieties over a field k assumed to have characteristic 0.
- All algebraic varieties will be assumed smooth (+ geometrically integral).

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0-cycles

 Given an algebraic variety X/k, CH₀(X) is the Chow group of 0-cycles modulo rational equivalence on X.

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0-cycles

- Given an algebraic variety X/k, CH₀(X) is the Chow group of 0-cycles modulo rational equivalence on X.
- By definition, there is a surjection

$$\bigoplus_{x\in X_{(0)}}\mathbb{Z}\longrightarrow CH_0(X)$$

where

• we write $X_{(0)}$ for the set of dimension 0 points of X,

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- Given an algebraic variety X/k, CH₀(X) is the Chow group of 0-cycles modulo rational equivalence on X.
- By definition, we can realize $CH_0(X)$ as the cokernel

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- \blacksquare ∂ is the "divisor" map.

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The degree homomorphism

Given an extension L/k, consider the homomorphism $\mathbb{Z} \to \mathbb{Z}$ induced by multiplication by [L:k].

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that induces the usual degree homomorphism

$$\mathsf{deg}: \mathit{CH}_0(X) \to \mathbb{Z}.$$

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0-cycles of degree 1

Definition

An algebraic variety X/k has a 0-cycle of degree 1 if the degree homomorphism

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If X has a k-rational point, then X has a 0-cycle of degree 1.

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- If X has a k-rational point, then X has a 0-cycle of degree 1.
- The converse is false, there exist varieties with points over extensions of coprime degrees, but that have no rational point.

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Basic question

Question

Given an algebraic variety X/k, if X has a 0-cycle of degree 1, can one give additional hypotheses guaranteeing that X actually has a rational point?

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Given an algebraic variety X/k, if X has a 0-cycle of degree 1, can one give additional hypotheses guaranteeing that X actually has a rational point?

There are a number of clasical conjectures related to the above question, e.g., the Cassels-Swinnerton-Dyer conjecture.

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Symmetric bilinear forms

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- If (W, ψ) is another symmetric bilinear form over L
 - we can equip $V \oplus W$ with the symmetric bilinear form $\varphi \oplus \psi$ defined by $\varphi \oplus \psi((v \oplus w), (v' \oplus w')) = \varphi(v, v') + \psi(w, w')$, and

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 - we can equip $V \otimes W$ with the symmetric bilinear form $\varphi \otimes \psi$ defined by $\varphi \otimes \psi((v \otimes w), (v' \otimes w')) = \varphi(v, v') \cdot \psi(w, w')$.
- Write $\langle a \rangle$ for the 1-dimensional vector space *L* equipped with symmetric bilinear form (x, x') = axx'.

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Functoriality of forms

- If $k \hookrightarrow L$ is an arbitrary extension.
 - Given a symmetric bilinear form (V, φ) over k, we can extend scalars to obtain a symmetric bilinear form (V_L, φ_L) over L.

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Suppose $k \hookrightarrow L$ is a finite extension.

• Let $tr_{L/k} : L \to k$ be the corresponding trace map.

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Suppose $k \hookrightarrow L$ is a finite extension.

- Let $tr_{L/k} : L \to k$ be the corresponding trace map.
- We can view L as a symmetric bilinear space over k by means of the trace form $(x, y) \rightarrow tr_{L/k}(xy)$.

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 - Extension of scalars preserves direct sums and tensor products.

Suppose $k \hookrightarrow L$ is a finite extension.

- Let $tr_{L/k} : L \rightarrow k$ be the corresponding trace map.
- We can view *L* as a symmetric bilinear space over *k* by means of the *trace form* $(x, y) \rightarrow tr_{L/k}(xy)$.
- Or, given a symmetric bilinear form (V, φ) over L, we can obtain a symmetric bilinear form over k by viewing V as a vector space over k and composing φ with tr_{L/k}.

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Grothendieck-Witt groups

Definition

The Grothendieck-Witt group of a field k, denoted GW(k), is the Grothendieck group of the monoid of isomorphism classes of symmetric bilinear forms over k with operation determined by the direct sum.

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 GW(k) is a commutative ring with multiplication induced by tensor product and unit given by the class of the symmetric bilinear form ⟨1⟩.

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Functoriality of Grothendieck-Witt groups

Extension of scalars and "trace" induce corresponding maps of Grothendieck-Witt groups:

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- the transfer homomorphism is a map of GW(k) modules, and

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- an extension $k \hookrightarrow L$ induces a ring homomorphism $GW(k) \to GW(L)$,
- a finite extension $k \hookrightarrow L$ induces a "transfer" homomorphism $GW(L) \to GW(k)$,
- the transfer homomorphism is a map of GW(k) modules, and
- there is a dimension homomorphism dim : $GW(k) \rightarrow \mathbb{Z}$.

Quadratic obstructions

Taking the sum of the transfer homomorphisms (varying over the dimension 0 points of X) defines a map

$$\widetilde{\mathsf{deg}}': \bigoplus_{x\in X_{(0)}} GW(\kappa_x) \longrightarrow GW(k).$$

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Elements of the direct sum on the left are 0-cycles equipped with a symmetric bilinear form. Forgetting the symmetric bilinear form gives a map to the groups we already considered. Furthermore, the homomorphism $\widetilde{\operatorname{deg}}'$ is

- *GW*(*k*)-linear, and
- factors through a "nice" quotient.

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Rational points up to stable \mathbb{A}^1 -homotopy

Definition

If X/k is an algebraic variety, say X has a rational point up to stable \mathbb{A}^1 -homotopy if the map deg is surjective; a rational point up to stable \mathbb{A}^1 -homotopy is a choice of lift of $\langle 1 \rangle \in GW(k)$.

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If X has a k-rational point, then X has a rational point up to stable A¹-homotopy.

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- If X has a k-rational point, then X has a rational point up to stable A¹-homotopy.
- If X has a rational point up to stable A¹-homotopy, then X has a 0-cycle of degree 1.

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Main questions

Question

What are general hypotheses under which the converses to the above statements hold?

Aravind Asok (USC) Rational points up to stable \mathbb{A}^1 -homotopy

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- I if X has a 0-cycle of degree 1, when does X have a rational point up to stable A¹-homotopy?
- If X has a rational point up to stable A¹-homotopy, when does X have a rational point?

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A partial answer

Theorem

Suppose k is not formally real, i.e., -1 is a sum of squares in k. If X has a 0-cycle of degree 1, then X has a rational point up to stable \mathbb{A}^1 -homotopy (but X need not have a rational point).

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The proof of the theorem is not very difficult: it uses the fact (Pfister '66) that if k is not formally real, then the ordinary Witt group W(k) is a local ring.

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- The proof of the theorem is not very difficult: it uses the fact (Pfister '66) that if k is not formally real, then the ordinary Witt group W(k) is a local ring.
- If k is formally real it seems reasonable to expect that there are varieties with a 0-cycle of degree 1, but no rational point up to stable A¹-homotopy.

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Formal properties of the notion

The notion of rational point up to stable \mathbb{A}^1 -homotopy has good formal properties.

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Formal properties of the notion

The notion of rational point up to stable \mathbb{A}^1 -homotopy has good formal properties.

Theorem

If X and X' are birationally equivalent smooth proper varieties over k, then X has a rational point up to stable A¹-homotopy if and only if X' does.

Formal properties of the notion

The notion of rational point up to stable \mathbb{A}^1 -homotopy has good formal properties.

Theorem

- If X and X' are birationally equivalent smooth proper varieties over k, then X has a rational point up to stable A¹-homotopy if and only if X' does.
- 2 Existence of a rational point up to stable A¹-homotopy is a stable A¹-homotopy invariant.

The \mathbb{A}^1 -derived category

That the indices on CH_0 are written as subscripts is supposed to suggest that we are thinking about homology (the degree map is the pushforward to a point in Chow theory).

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- Write $D_{-}(k)$ for the corresponding derived category.
- Write $D^{\text{eff}}_{\mathbb{A}^1}(k)$ for the category obtained from $D_{-}(k)$ by "formally inverting" the maps $\mathbb{Z}(X \times \mathbb{A}^1) \to \mathbb{Z}(X)$.

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- Write D₋(k) for the corresponding derived category.
- Write $D^{\text{eff}}_{\mathbb{A}^1}(k)$ for the category obtained from $D_{-}(k)$ by "formally inverting" the maps $\mathbb{Z}(X \times \mathbb{A}^1) \to \mathbb{Z}(X)$.

The resulting category is an analog of Voevodsky's triangulated category of effective motivic complexes "without transfers."

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The \mathbb{A}^1 -chain complex

If X is a variety, let $C^{\mathbb{A}^1}_*(X)$ be the class of $\mathbb{Z}(X)$ in $D^{\text{eff}}_{\mathbb{A}^1}(X)$.

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- Denote by $\mathbb{Z}\langle 1 \rangle$ the (co-)chain complex $C^{\mathbb{A}^1}_*(\mathbb{P}^1)[-2]$; this "is" a Tate twist.

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- If X is a variety, let $C^{\mathbb{A}^1}_*(X)$ be the class of $\mathbb{Z}(X)$ in $D^{\text{eff}}_{\mathbb{A}^1}(X)$.
- Denote by $\mathbb{Z}\langle 1 \rangle$ the (co-)chain complex $C^{\mathbb{A}^1}_*(\mathbb{P}^1)[-2]$; this "is" a Tate twist.
- The \mathbb{A}^1 -homology (sheaves) of X are the homology sheaves of $C^{\mathbb{A}^1}_*(X)$.
- The category D^{eff}_{A¹}(k) has many good formal properties, in particular a tensor product.

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The stable \mathbb{A}^1 -derived category

 If we want a good duality formalism, it turns out we have to invert the operation ⊗Z⟨1⟩.

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The stable \mathbb{A}^1 -derived category

- If we want a good duality formalism, it turns out we have to invert the operation ⊗Z⟨1⟩.
- Let $D_{\mathbb{A}^1}(k)$ be the category where $\mathbb{Z}\langle 1 \rangle$ is inverted.

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The stable \mathbb{A}^1 -derived category

- If we want a good duality formalism, it turns out we have to invert the operation ⊗Z⟨1⟩.
- Let $D_{\mathbb{A}^1}(k)$ be the category where $\mathbb{Z}\langle 1 \rangle$ is inverted.
- Formally, morphisms in the new category are colimits of the diagrams

$$\mathsf{Hom}_{\mathsf{D}^{\mathsf{eff}(k)}_{\mathbb{A}^1}}(A,B) \to \mathsf{Hom}_{\mathsf{D}^{\mathsf{eff}(k)}_{\mathbb{A}^1}}(A \otimes \mathbb{Z} \langle 1 \rangle, B \otimes \mathbb{Z} \langle 1 \rangle) \to \cdots$$

(just like Voevodsky's triangulated category of motives).

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Stable \mathbb{A}^1 -homology

Definition

For a smooth variety X, we define

$$\mathbf{H}_0^{s\mathbb{A}^1}(X) := \underline{\mathrm{Hom}}_{\mathsf{D}_{\mathbb{A}^1}(k)}(\mathbb{Z}, C^{\mathbb{A}^1}_*(X))$$

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The sheaf $\mathbf{H}_0^{s\mathbb{A}^1}(X)$ is

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- A¹-homotopy invariant by construction;
- initial among (stable) strictly A¹-homotopy invariant sheaves admitting a map from X;
- a birational invariant by combining the two observations above with Morel's stable A¹-connectivity theorem (via the Bloch-Ogus theory as formalized by Colliot-Thélène-Hoobler and Kahn).

Aside

The fact that the sheaf $\mathbf{H}_0^{s\mathbb{A}^1}(X)$ is initial means that it "controls all the unramified invariants of X."

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If we write $\mathbf{H}_{\text{ét}}^{i}(\mu_{n}^{\otimes j})$ for the Nisnevich sheaf on $\mathcal{S}m_{k}$ associated with $U \mapsto H_{\text{ét}}^{i}(U, \mu_{n}^{\otimes j})$.

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$$W_{ur}(X) = \operatorname{Hom}(\mathbf{H}_0^{s\mathbb{A}^1}(X), \mathbf{W}).$$

Further properties

• These sheaves are covariantly functorial for maps of schemes.

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- If X is furthermore proper, the relationship between the \mathbb{A}^1 -derived category and Voevodsky's category gives a map $\mathbf{H}_0^{s\mathbb{A}^1}(X) \to \underline{CH}_0(X)$.

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- The hint that stable homology is linked to symmetric bilinear forms stems from a fundamental computation of Morel: for any extension L/k, $\mathbf{H}_{0}^{s\mathbb{A}^{1}}(\operatorname{Spec} k)(L) = GW(L)$.
- Our fundamental computation gives a description of the sheaf H₀^{sA¹}(X) for smooth proper varieties amalgamating the data from "zero cycles" and "symmetric bilinear forms" above.

The main computation

Theorem

For any smooth proper variety X over a field k (char. 0) and any extension L/k, there is a canonical isomorphism

$$\mathbf{H}_0^{s\mathbb{A}^1}(X)(L) \xrightarrow{\sim} \widetilde{CH}_0(X_L),$$

where $CH_0(X_L)$ is the group of "enhanced" 0-cycles on X.

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where $\widetilde{CH}_0(X_L)$ is the group of "enhanced" 0-cycles on X. (Up to a small twist) the group $\widetilde{CH}_0(X_L)$ is the quotient of $\bigoplus_{x \in X_{(0)}} GW(\kappa_x)$ we discussed earlier, and the pushforward to a point is induced by the map $\widetilde{\deg}'$.

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Duality and the proof

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- The "small twist" comes from the fact that essentially none of the varieties we are considering is "orientable" and thus when using duality we have to twist by an "orientation local system."

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Duality and the proof

- The "small twist" comes from the fact that essentially none of the varieties we are considering is "orientable" and thus when using duality we have to twist by an "orientation local system."
- The explicit presentation arises from an unwinding of the Gersten resolution.

Thank you!

See http://www-bcf.usc.edu/~asok for more information

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Given a field k, Hopkins and Morel defined a graded ring $K_*^{MW}(k)$ by generators and relations.

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Let $T_*^{MW}(k)$ be the free graded associative algebra with a generator [u] of degree +1 for each $u \in F^*$ and with η of degree -1.

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If
$$h = \eta[-1] + 2$$
, then $\eta \cdot h = 0$.

• The identification $\langle u \rangle = \eta[u] + 1$ determines an isomorphism $GW(k) \rightarrow \mathbf{K}_0^{MW}(k)$.

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- The identification $\langle u \rangle = \eta[u] + 1$ determines an isomorphism $GW(k) \rightarrow \mathbf{K}_0^{MW}(k)$.
- For a variety X/k, there is a "generalized divisor" map

$$\bigoplus_{x\in X_{(1)}} K_1^{MW}(\kappa_x) \stackrel{\partial}{\longrightarrow} \bigoplus_{x\in X_{(0)}} K_0^{MW}(\kappa_x)$$

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When X has trivial canonical bundle, the cokernel of this map is the group $\widetilde{CH}_0(X)$.

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